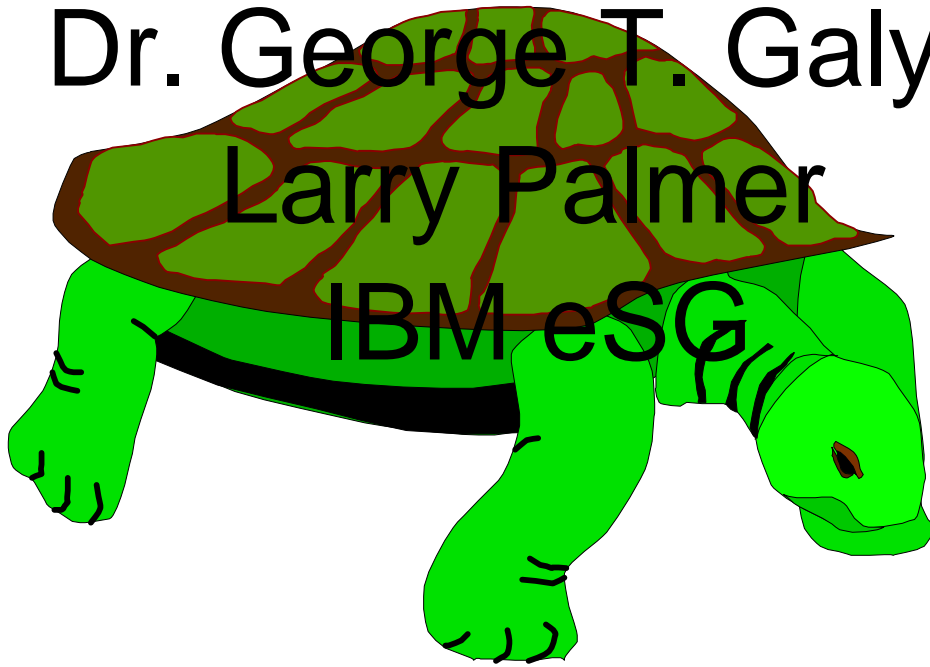


# **Tin Whisker Microstructural Analysis**

Dr. George T. Galyon

Larry Palmer

IBM eSG



# Whisker Theories

- Dislocations
  - ▶ Axial Screw Disloc.-M.Peach
  - ▶ Prismatic Loops
    - Eshelby(1st), Franks, Lindborg, Lee & Lee
  - ▶ Rotating Edge Dislocs.
    - F.C. Frank(1st)
    - W.C. Ellis, et al.
- Climbing Helices-Amelinckx et al.
- Recrystallization
  - ▶ W. C. Ellis (1st)
  - ▶ T. Kakeshita, et al.
  - ▶ V.K. Glazunova and N.T. Kudryavtsev
- Cracked Oxide
  - ▶ K.N. Tu (1st)
  - ▶ Lee and Lee
  - ▶ Chen Xu

Ideas concerning the mechanism of the process include representations of the structure of the deposits, but in most cases these are not confirmed by experiment. To obtain grounds for the proposed schemes of the process mechanism, it would be useful to have data on the fine structure of the tin lattice. This would give a clearer representation of the mechanism of action of the forces leading to "repulsion" of the monomer crystal from the bulk of the coating. At present (1985) the role of dislocations in this mechanism has not been generally recognized".

L.M. Gorbunova and V.K. Glazunova, Present State of the Problem of Spontaneous Growth of Whisker Crystals in Electrolytic Coatings, translated from *Zaschita Metallov*, Vol. 20, No. 3, May-June 1984, p 342-358.

## Koonce-Arnold: 1953

-Whiskers grow from the addition of material to the bottom of the whisker and not from the addition of material to the growing tip.

## Fisher, Darken, and Carroll: 1954

-Whiskers form and grow under the influence of compressive stress gradients

## W.C. Ellis, et al.: 1958

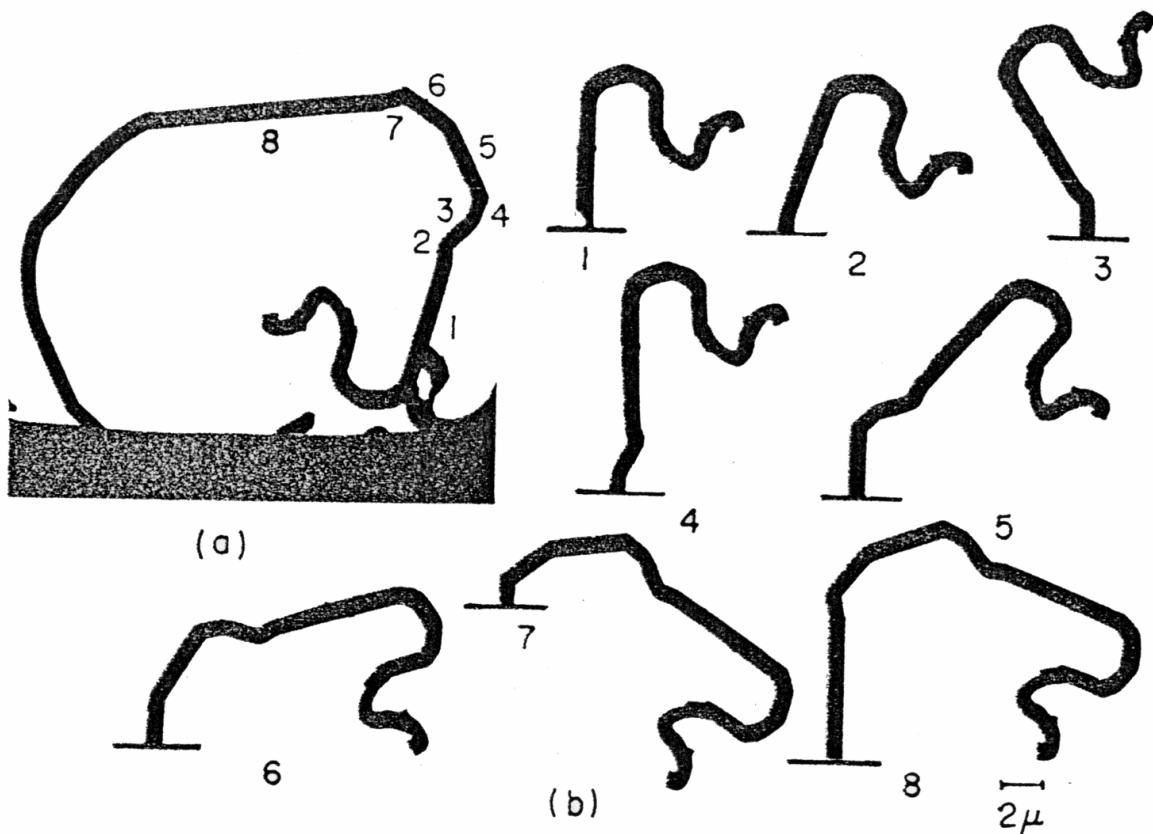
- Not all whisker growth directions are low-index glide planes...dislocation theories are not relevant to (at least) these non-glide plane growth directions.



in whiskers grown on inside of drilled and polished Sn-Al cast alloy

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N. Furuta & K. Hamamura, Jap. J.Appl.Phys. 8(1969) 1404



courtesy: M. Boettinger-NIST

H.P. Kehrler and H.G. Kadereit:1970

Whisker material comes from the  
plating / substrate interface

U. Lindborg: 1976

Bulk Diffusion cannot sustain the  
observed whisker growth rates.

W.J. Choi, T.Y. Lee, & K.N. Tu:2002

micro-diffraction X-Ray shows com-  
pressive stress gradients around  
whisker

W. Stevens-Brookhaven: 2002

synchrotron X-Ray analysis showed  
vertical compressive stress gradients  
in tin films ~ 40 MPas

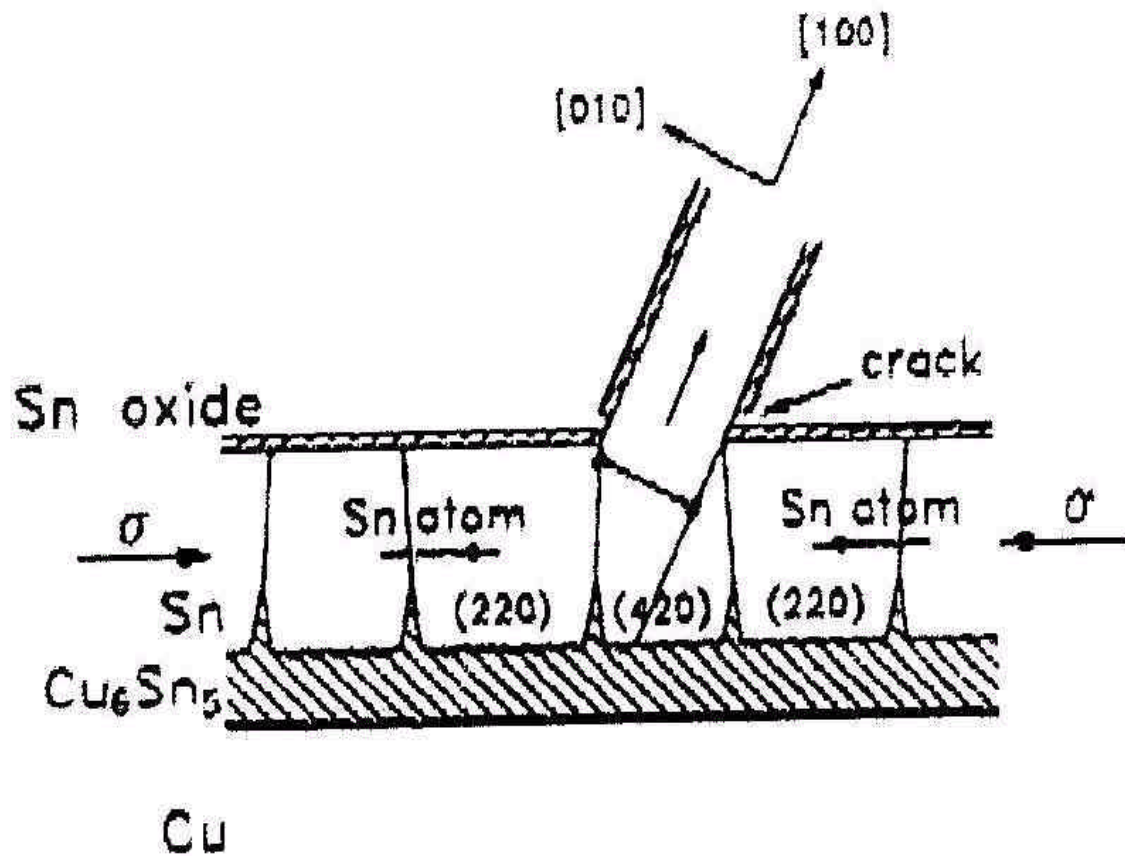
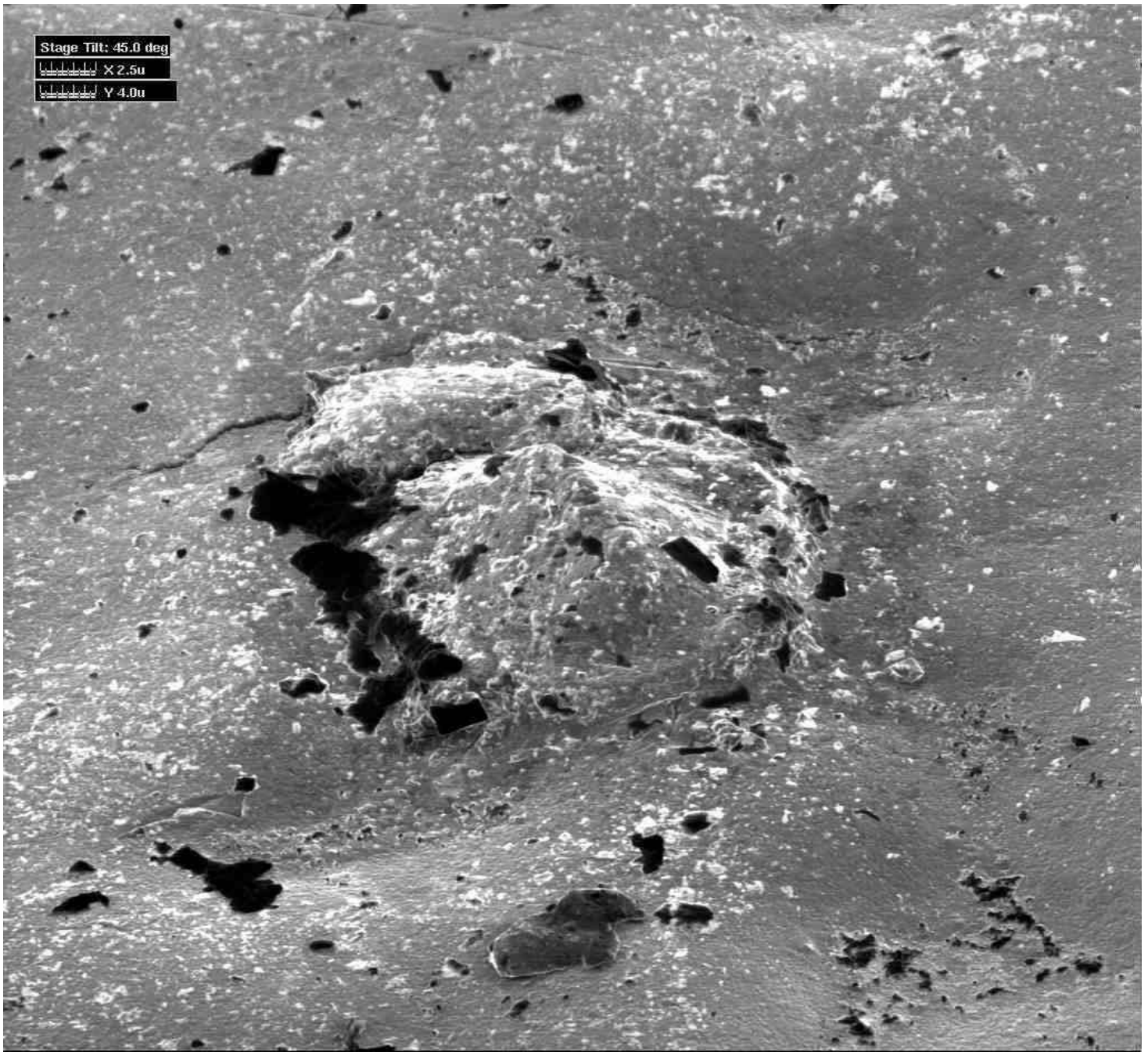


Fig. 19. Sketch of glide of expanded prismatic dislocation loop.

ref. Lee and Lee, Acta Met., 1998

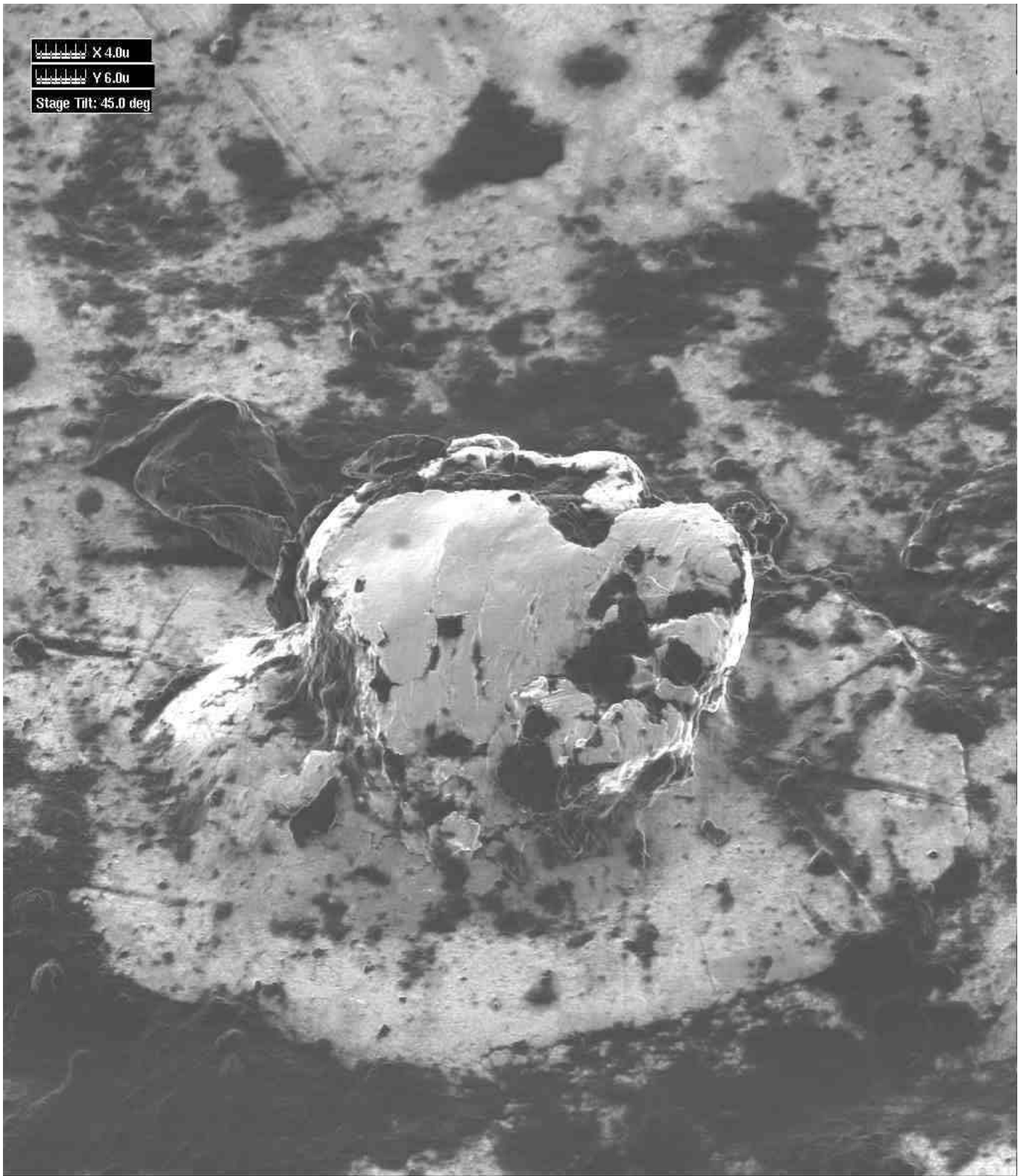
# **Integrated recrystallization theory-elements of G. T. Galyon / L. Palmer-eSG IBM**

- 1. whiskers/nodules do not grow from as-plated structures.**
- 2. A localized recrystallization event precedes whisker/nodule formation.**
- 3. The recrystallized region is a region of lower average stress re the surrounding region**
- 4. If the surrounding region is more compressively stressed-a whisker/nodule may form.**
- 5. A whisker/nodule may erupt thru the surface layer if the oxide is not too thick.**
- 6. A whisker, or filament, is a special case of nodule growth.**
- 7. The whisker/nodule material comes mainly from the surrounding regions thru the grain boundries-principally from the plating/ substrate interface.**



bright-tin surface mound (Sn over Cu)

o note crack in upper left quadrant of mound



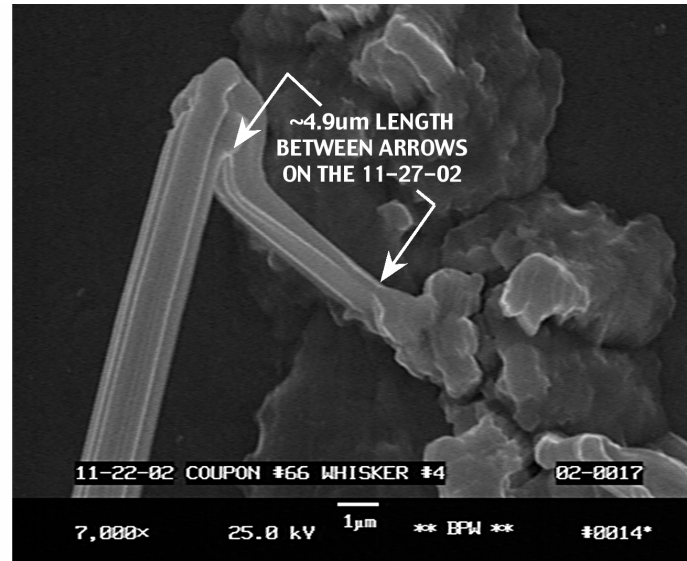
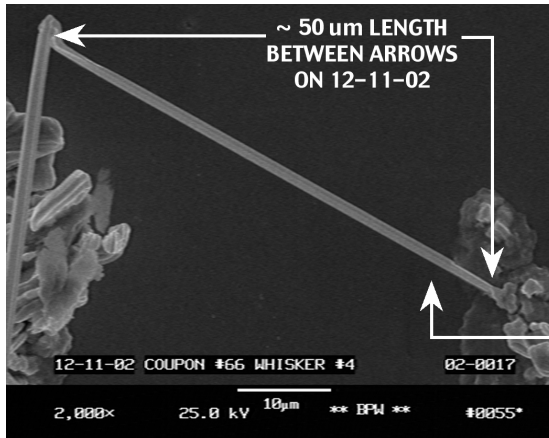
bright-tin surface eruption (Sn over Cu)



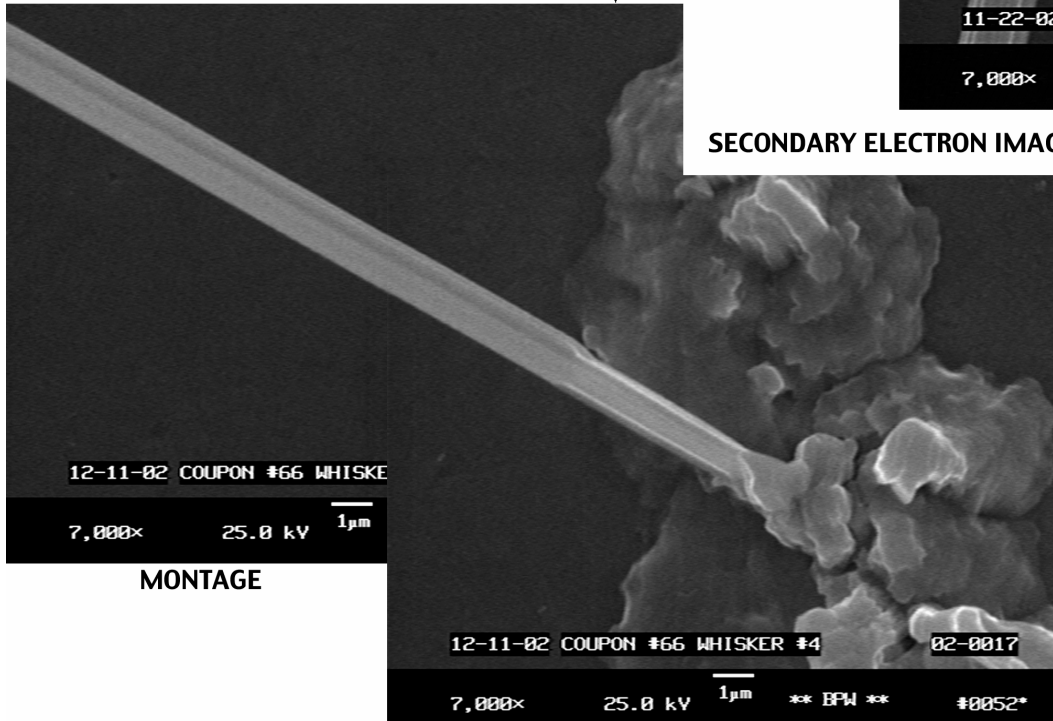


Bright Tin: SEM micrograph (Sn over Cu)

Field service whisker-approx. 250u long

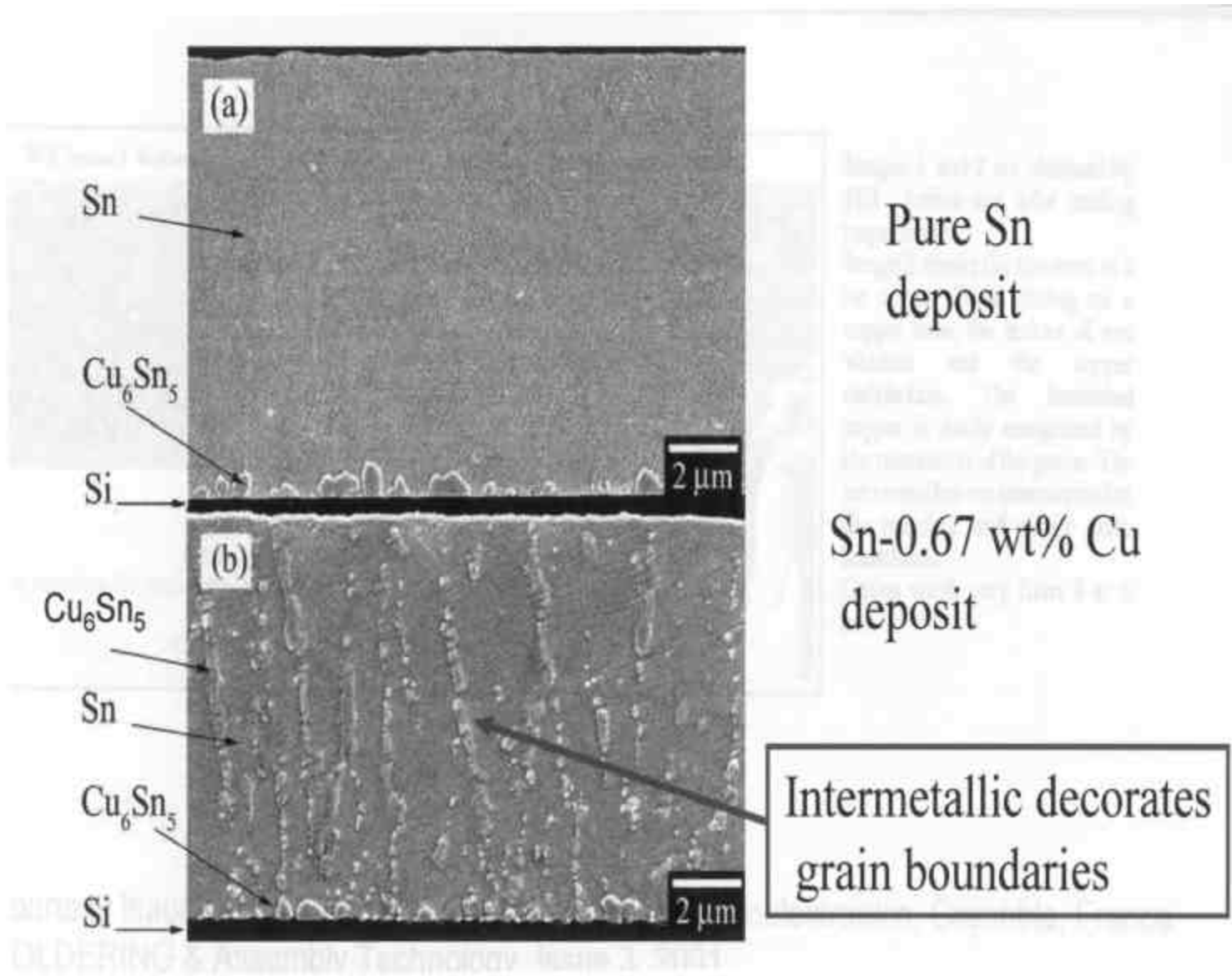


SECONDARY ELECTRON IMAGES



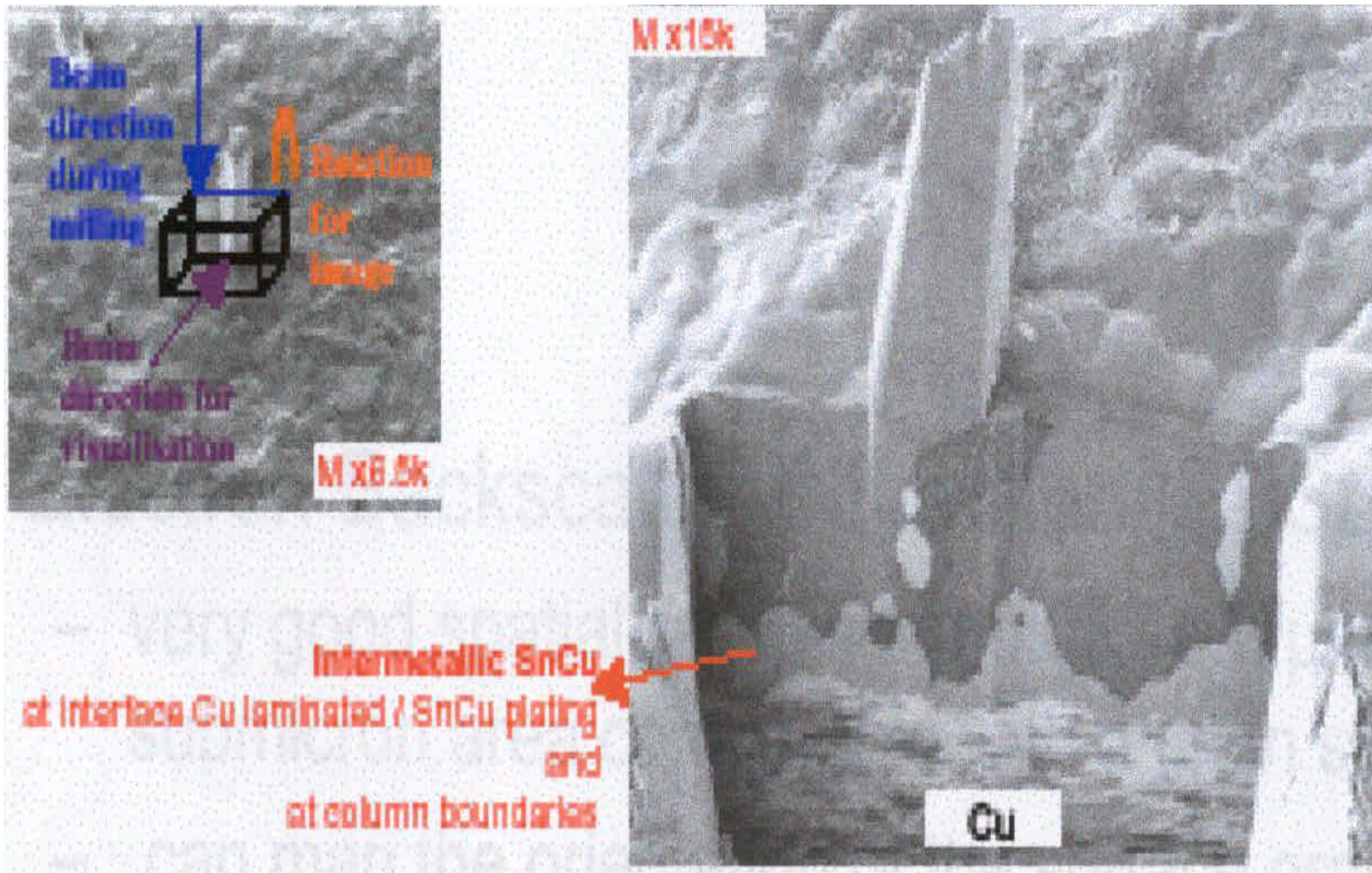
courtesy Dr. Thomas A. Woodrow-Boeing Corp.





courtesy of M. Williams, C.E. Johnson, K. Moon,  
G.R. Stafford, C. Handwerker, W. Boettinger:  
NIST

o Optical micrograph of bright tin plating (unetched)

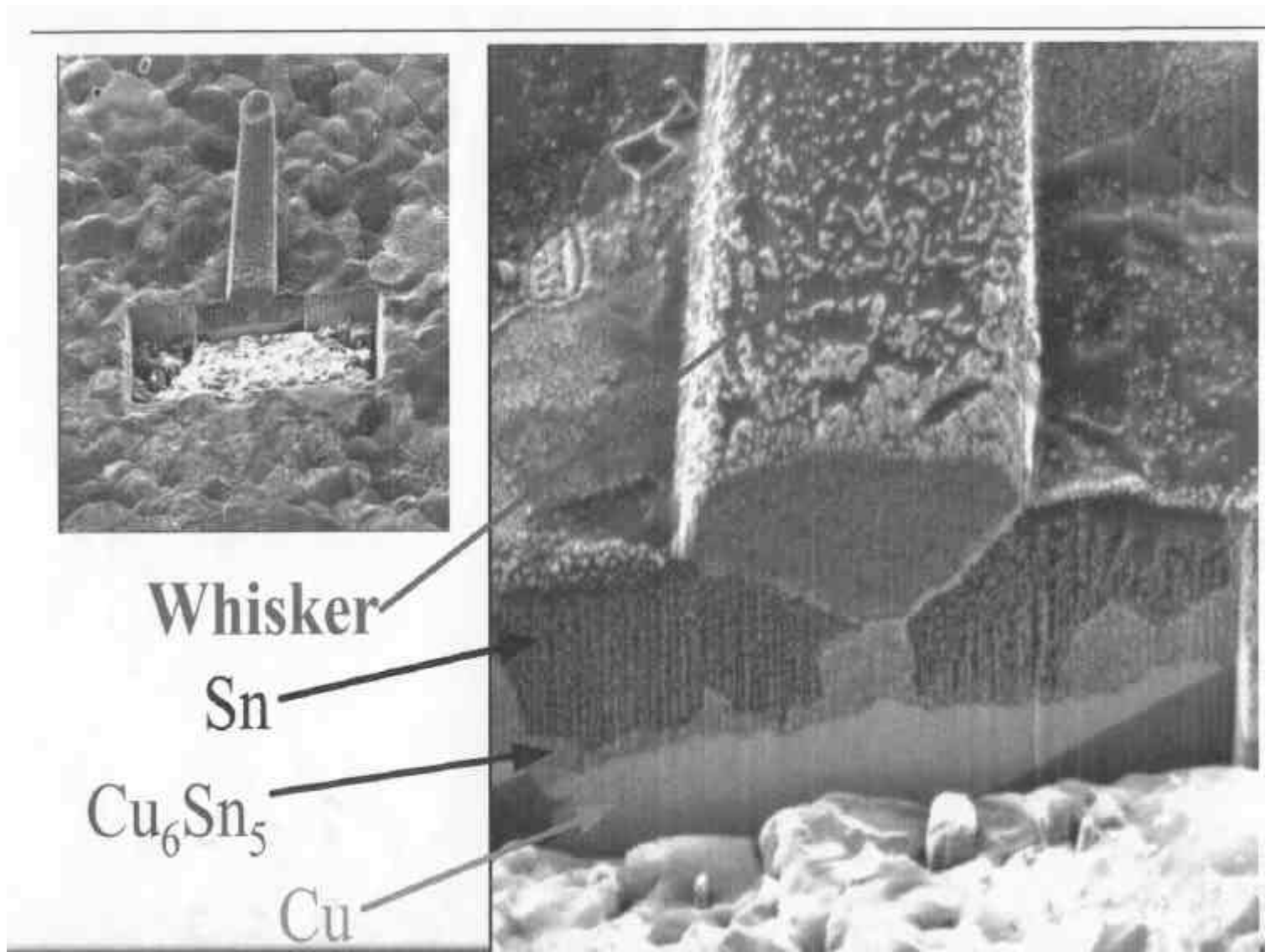


courtesy: Baudry and Kerros, ST Microelectronics

The first published FIB micrograph of Sn plating showing a cross-section of whisker/plating/IMC/substrate.

Plating type not identified (matte/bright)

Note IMC nodule at root of whisker



courtesy: Chen Xu, Cookson Electronics

Note IMC particle at root of whisker

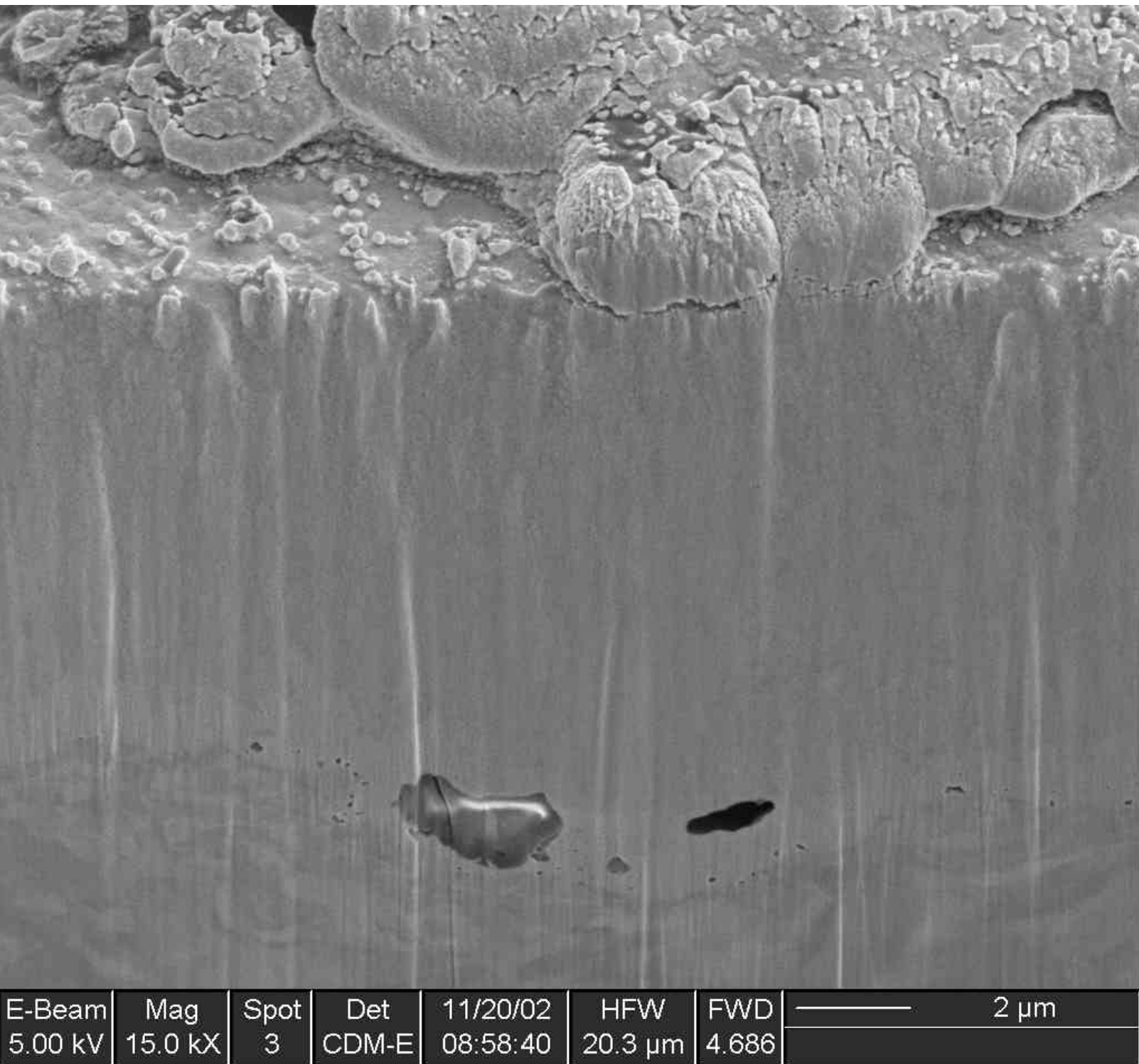




417-01

Bright Tin: Whisker eruption with "sleeving"

"sleeving" identified as  $\text{CuSn}_x$  ( $\text{Cu}_6\text{Sn}_5$ ?)

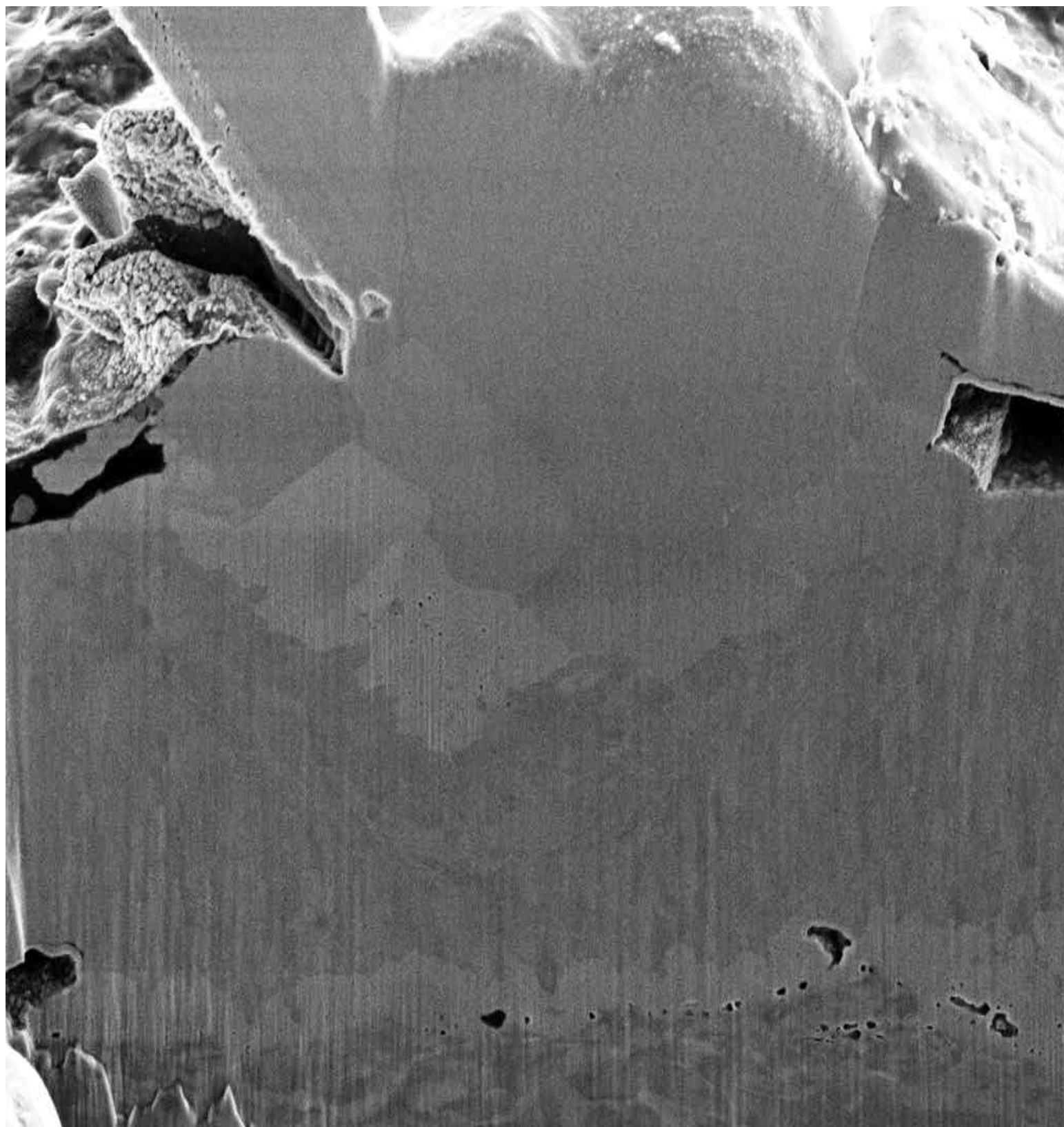


417-02

Bright tin: FIB cross-section / SEM micrograph

Cross-section outside of whisker-nodule region

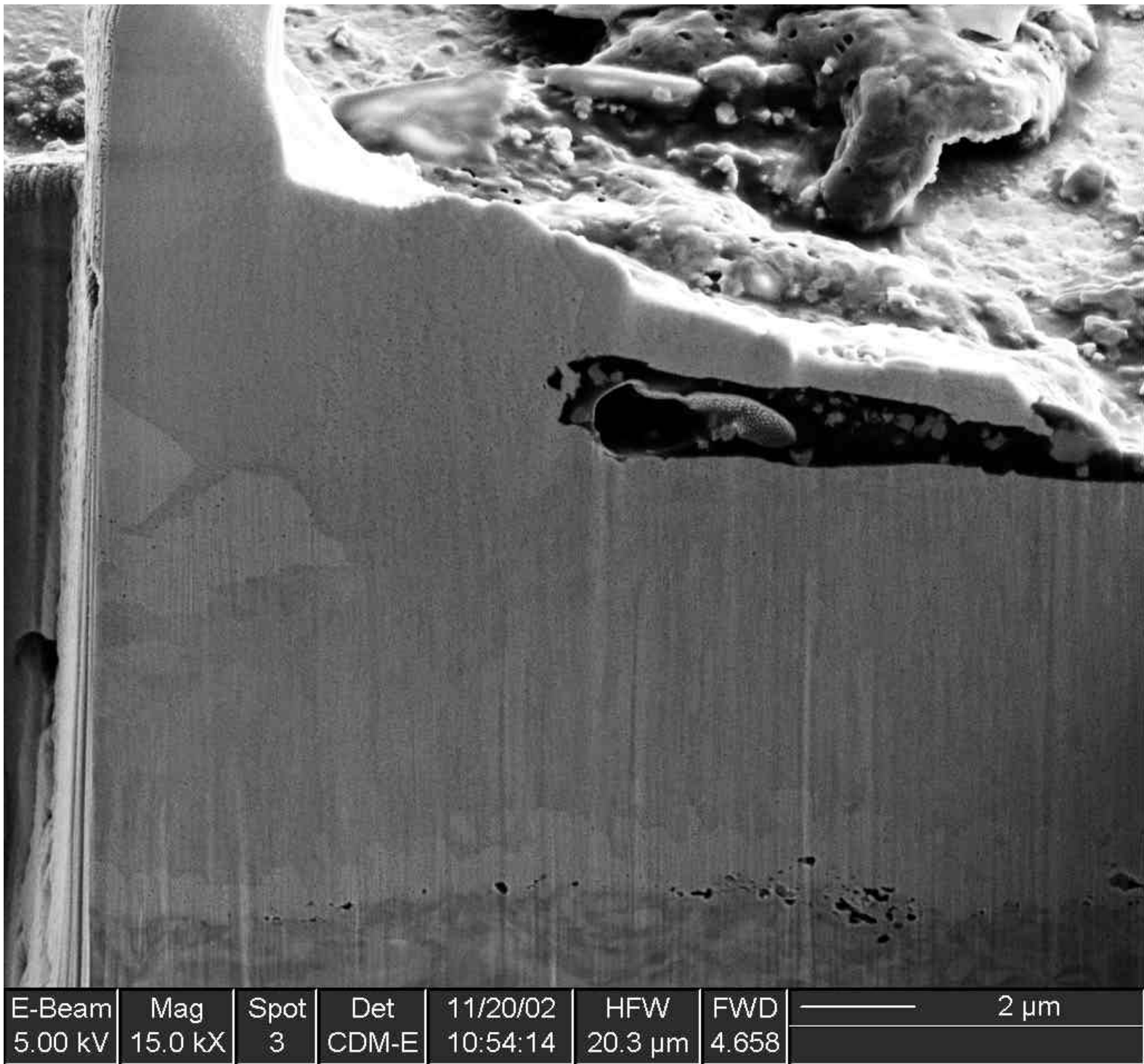
Note "voids" at Sn/Cu interface



E-Beam	Mag	Spot	Det	11/20/02	HFW	FWD	 2 $\mu\text{m}$
5.00 kV	15.0 kX	3	CDM-E	09:47:13	20.3 $\mu\text{m}$	4.647	

417-08

Bright Tin: FIB cross-section / SEM micrograph  
cross-section in whisker-nodule region



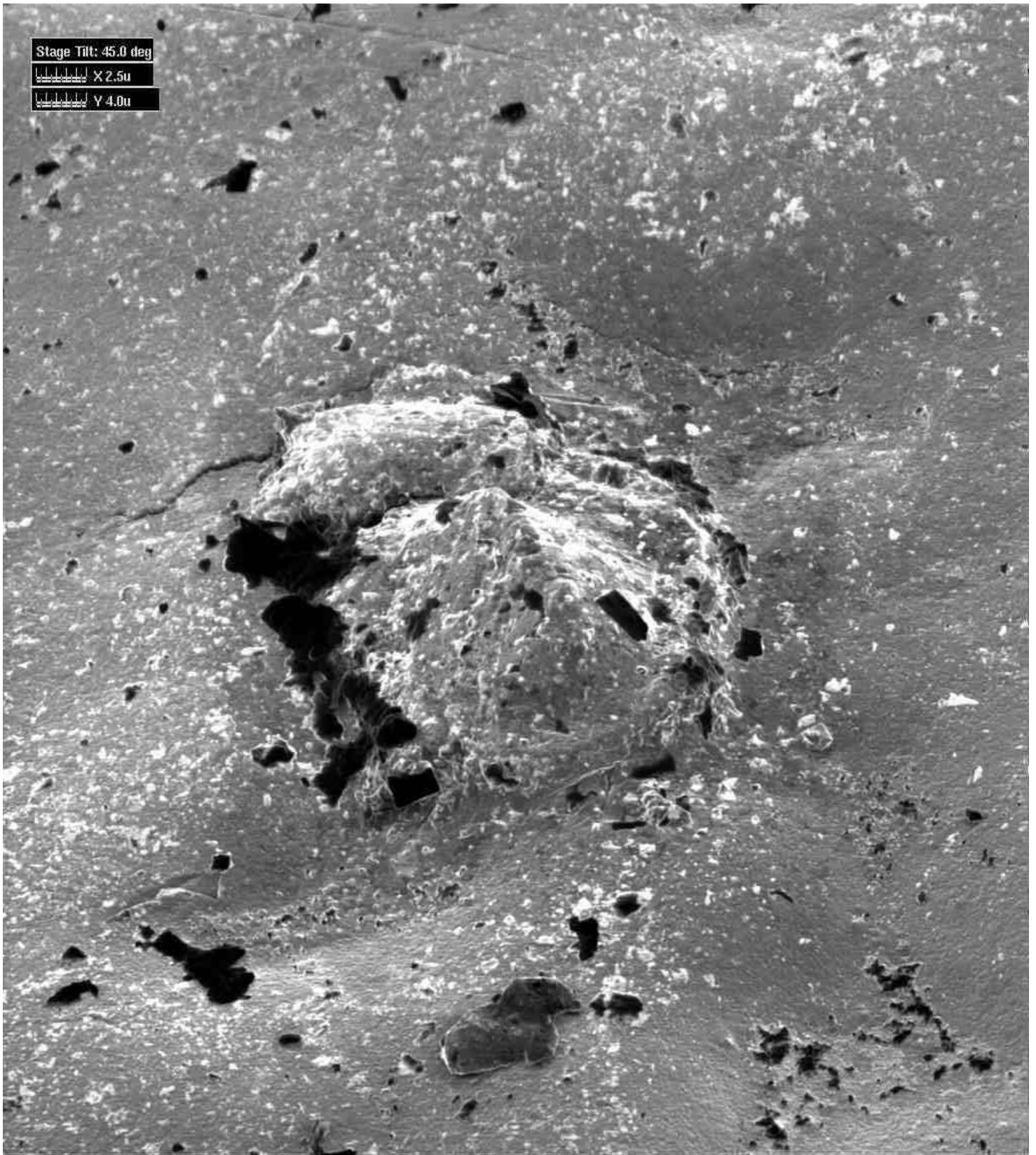
417-16

Bright Tin: FIB cross-section / SEM micrograph

cross-section moving thru whisker-nodule

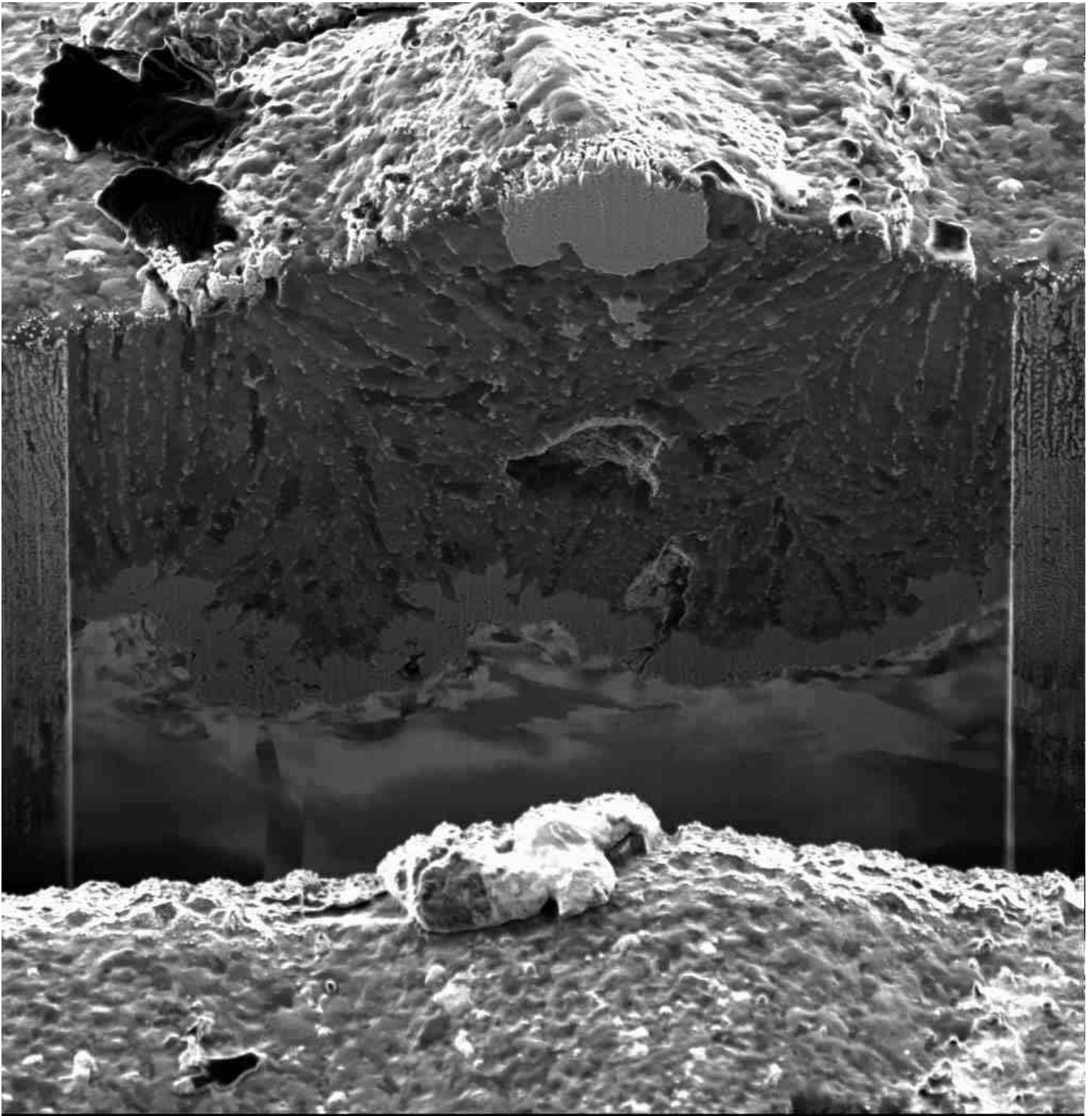
Note: "flap" of CuSnx under nodule





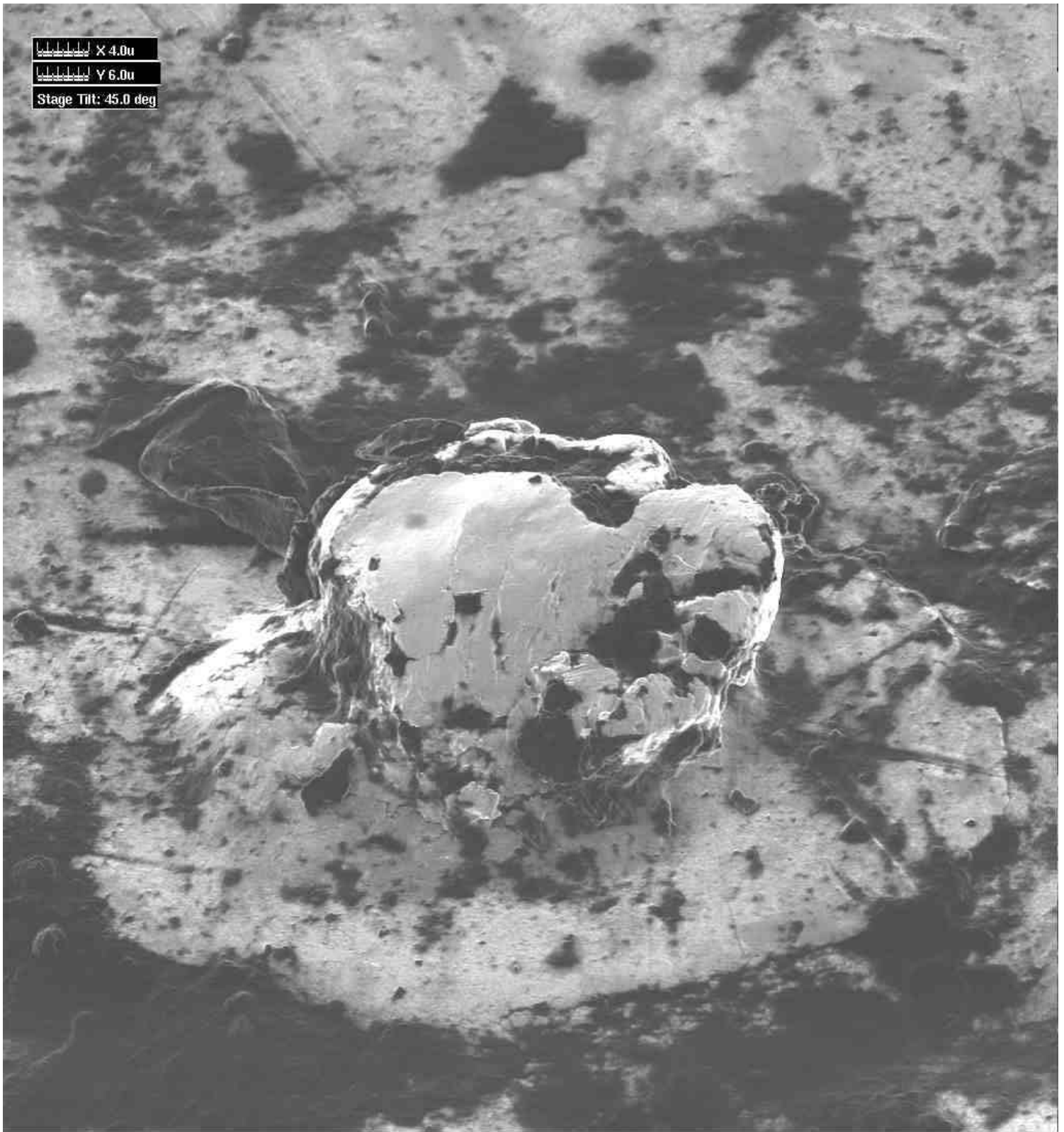
Bright Tin Growth Bump or Mound -45 deg. FIB



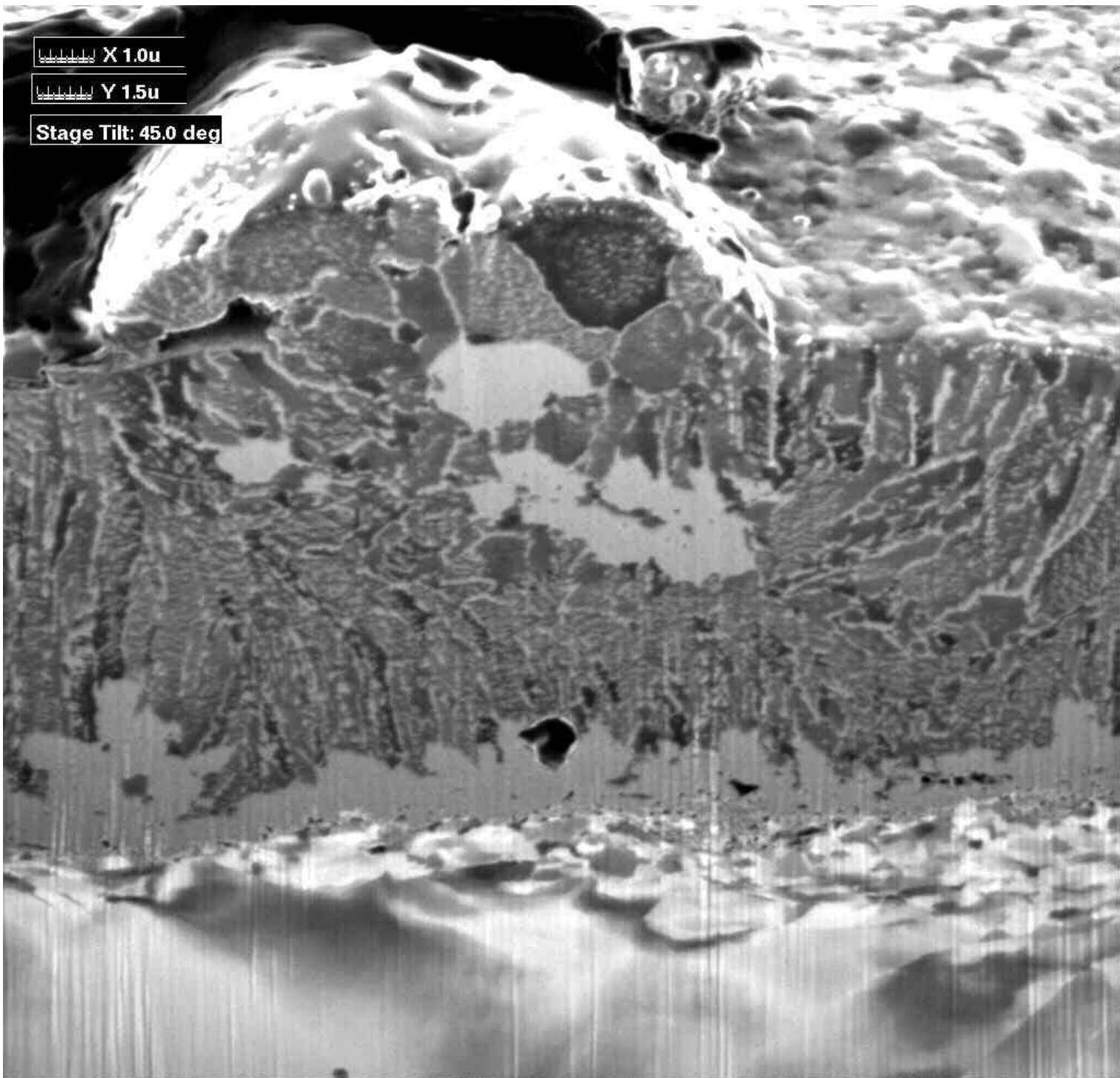


FIBs cross-section: bright tin "mound"

- o inclusions in plated structure are  $\text{CuSn}_x$
- o Tin plating is over copper substrate
- o  $\text{Cu}_6\text{Sn}_5$  intermetallic **particle at Sn/Cu interface**



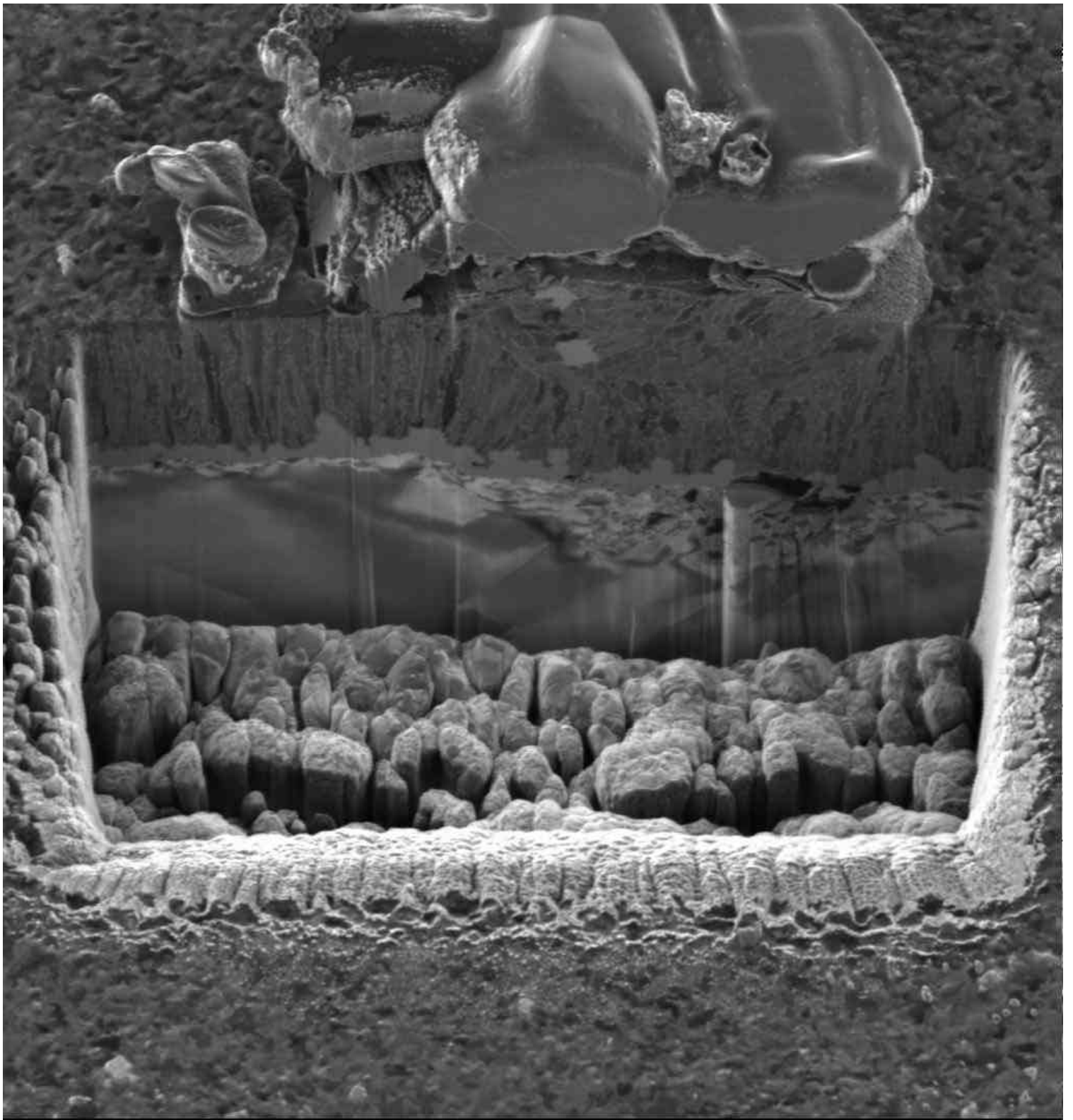
Bright tin nodule-20020417FIBC.....



#0-16

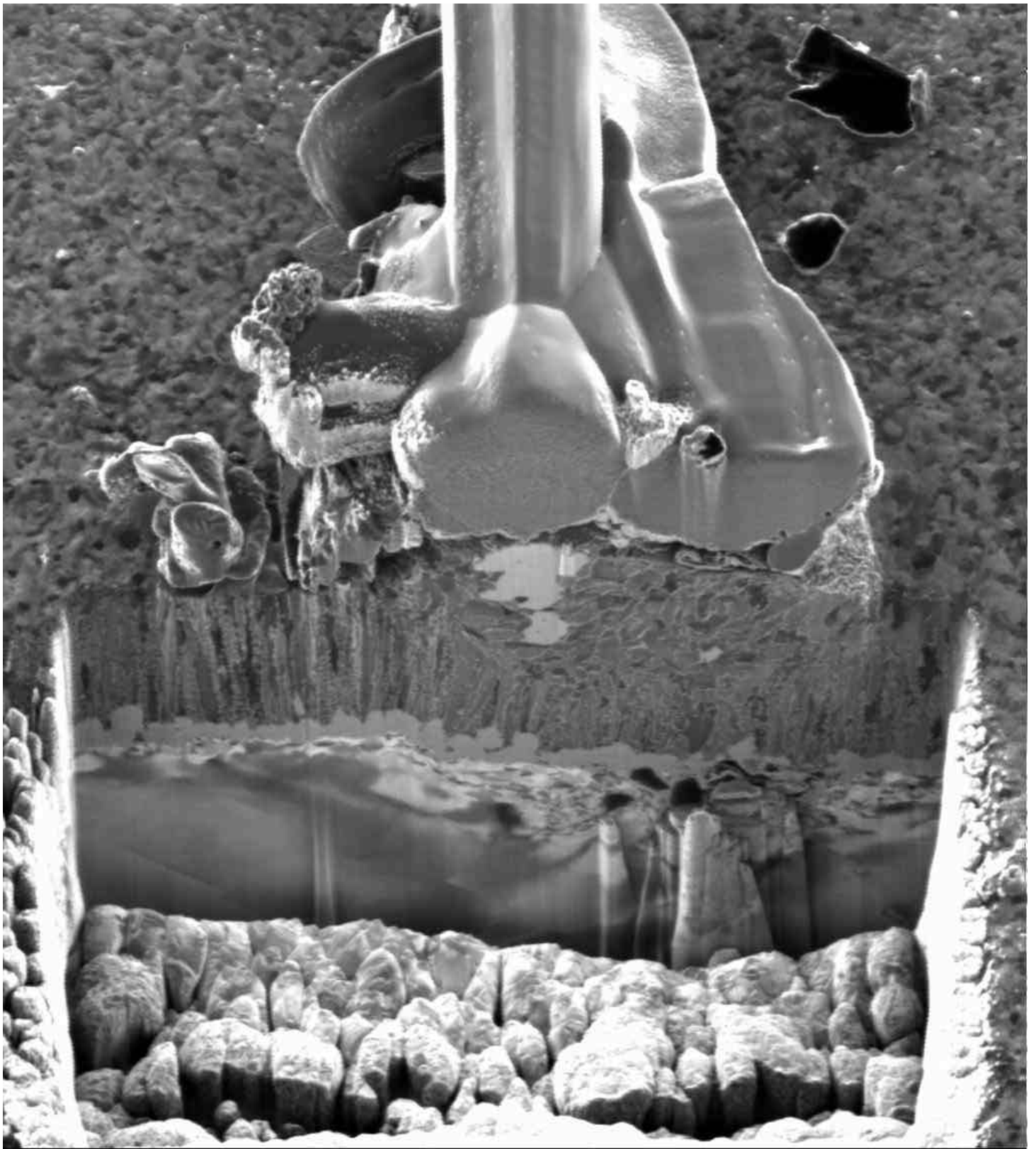
Bright tin nodule: FIB cross-section/FIB micrograph

Note: not the same nodule in previous foil

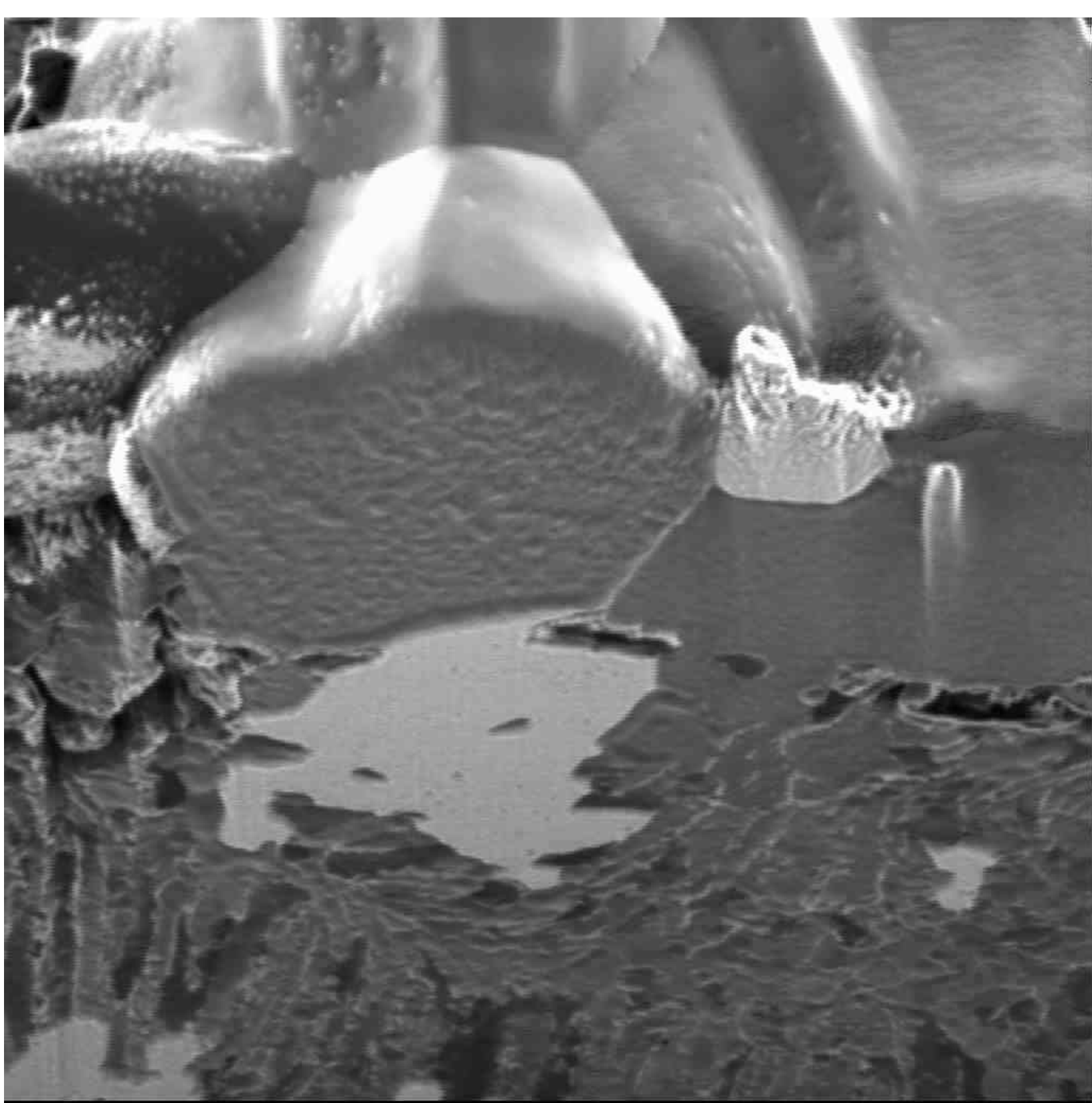


#5-1

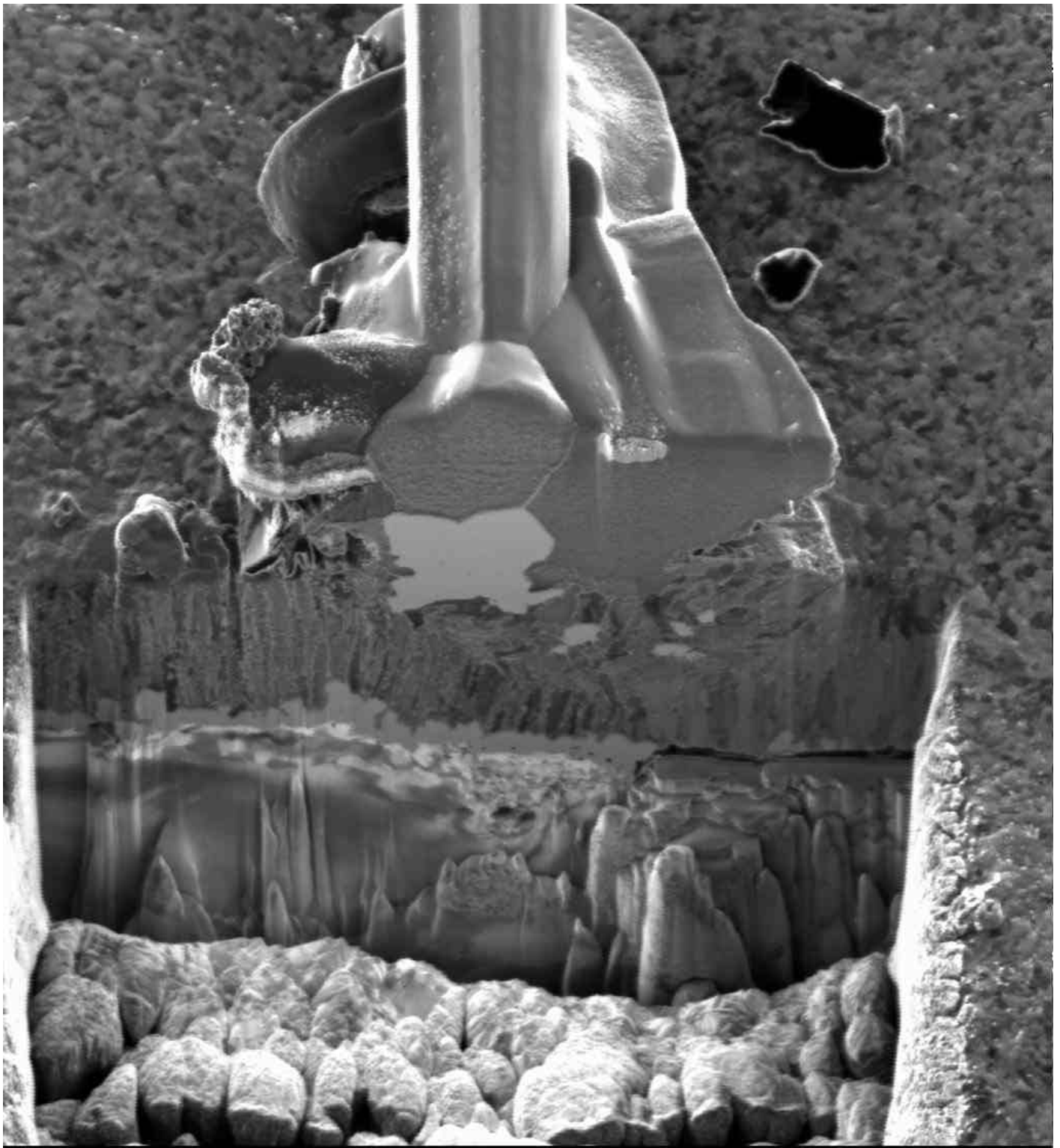




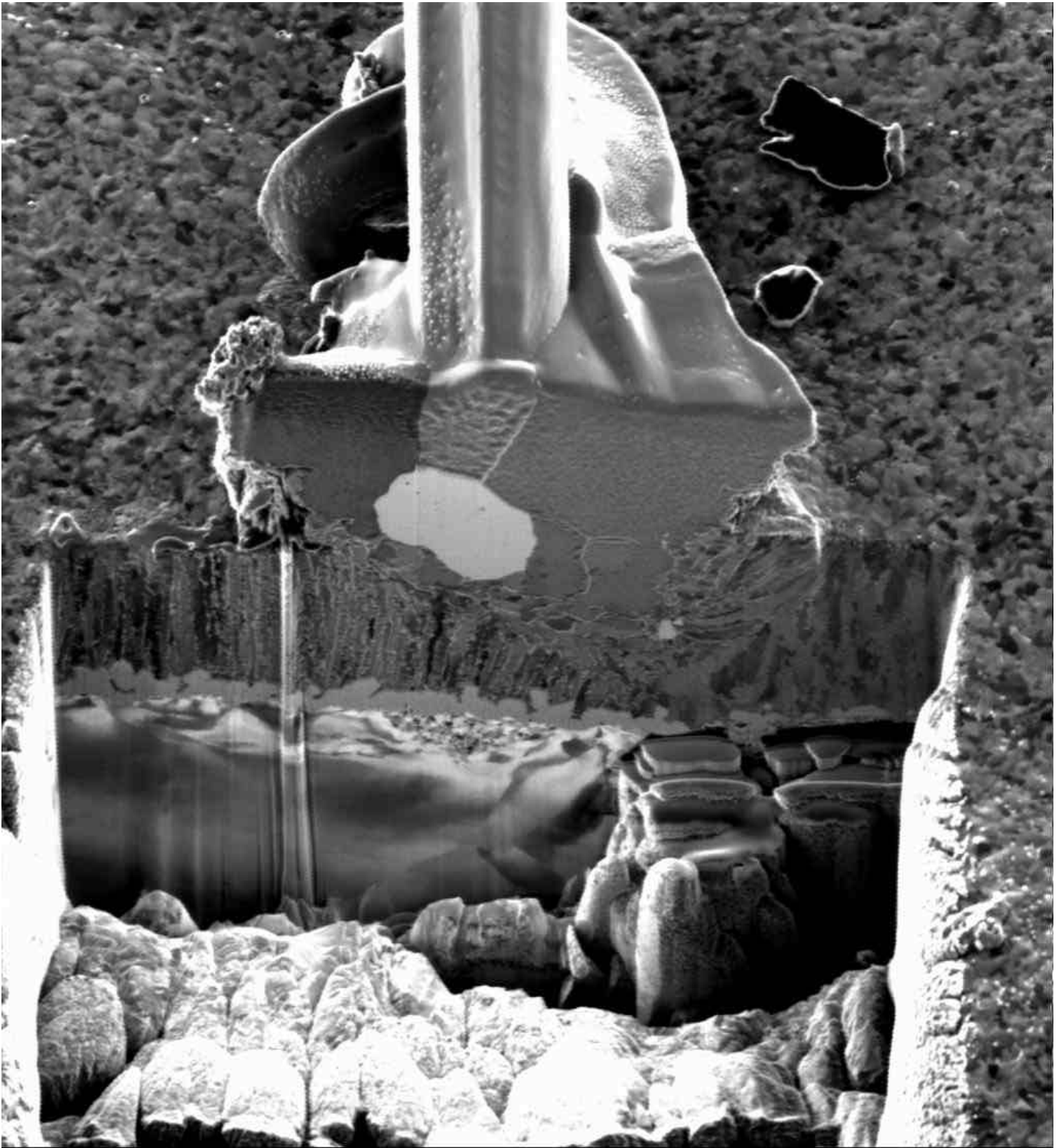
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05-5

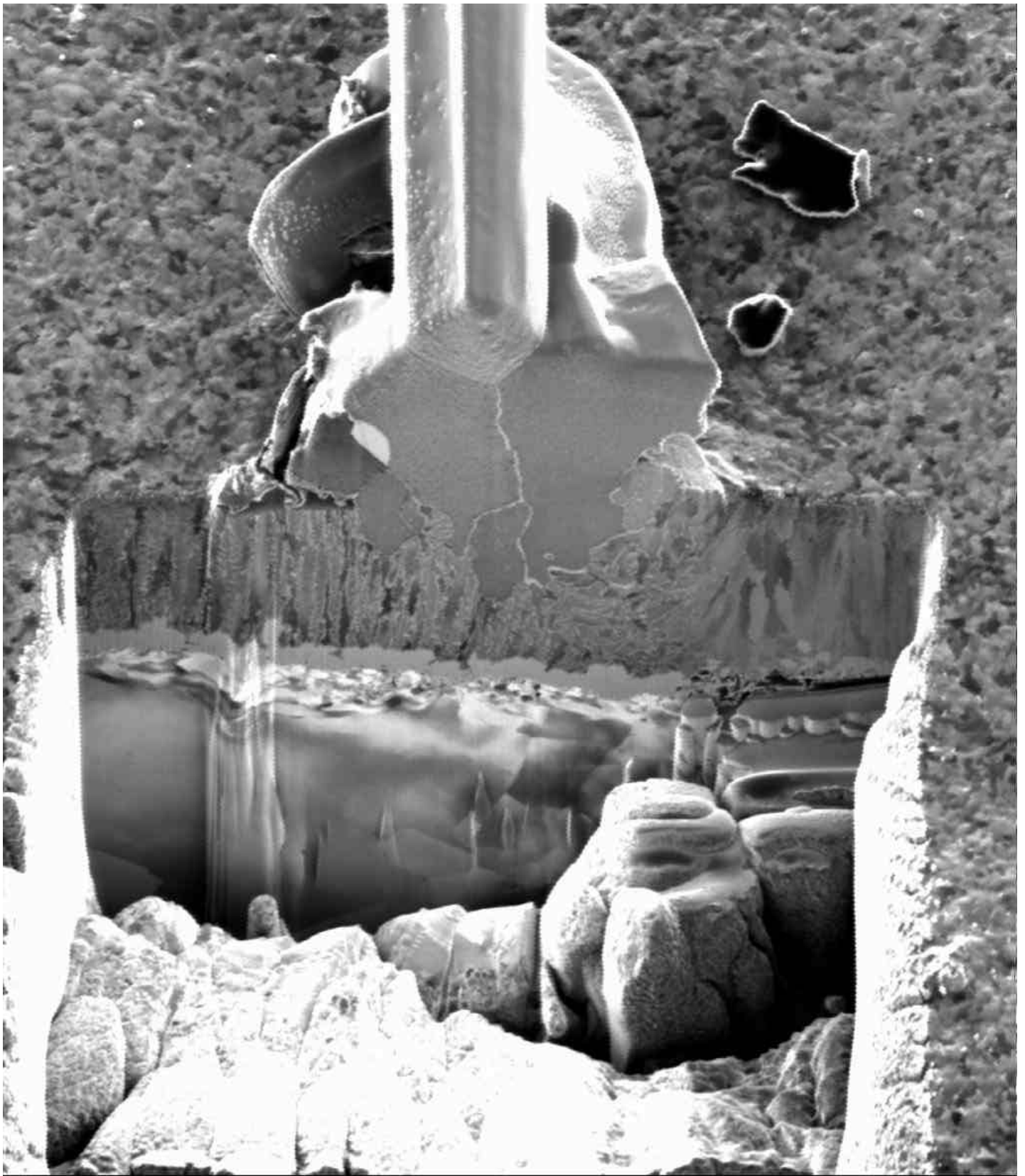


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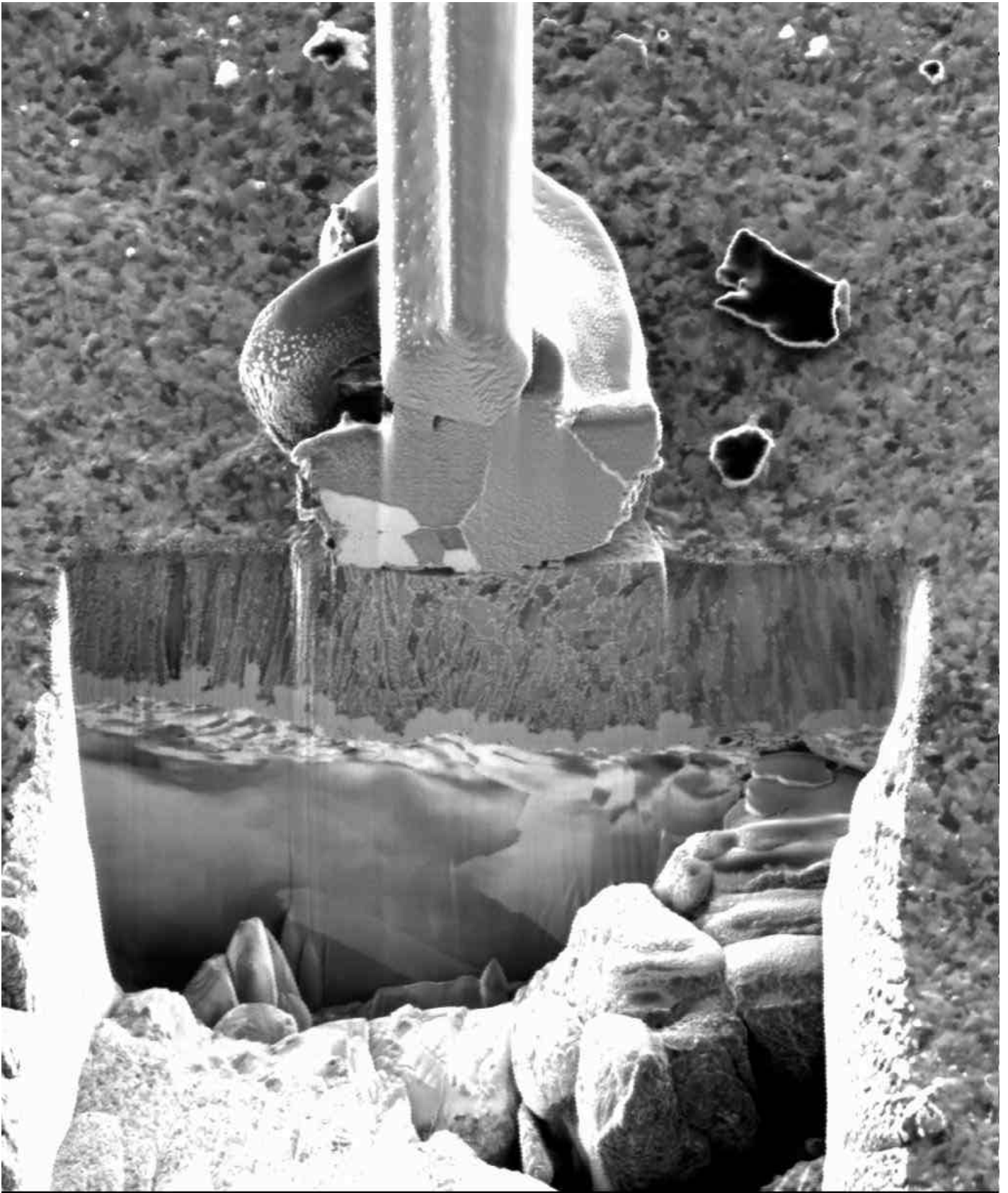


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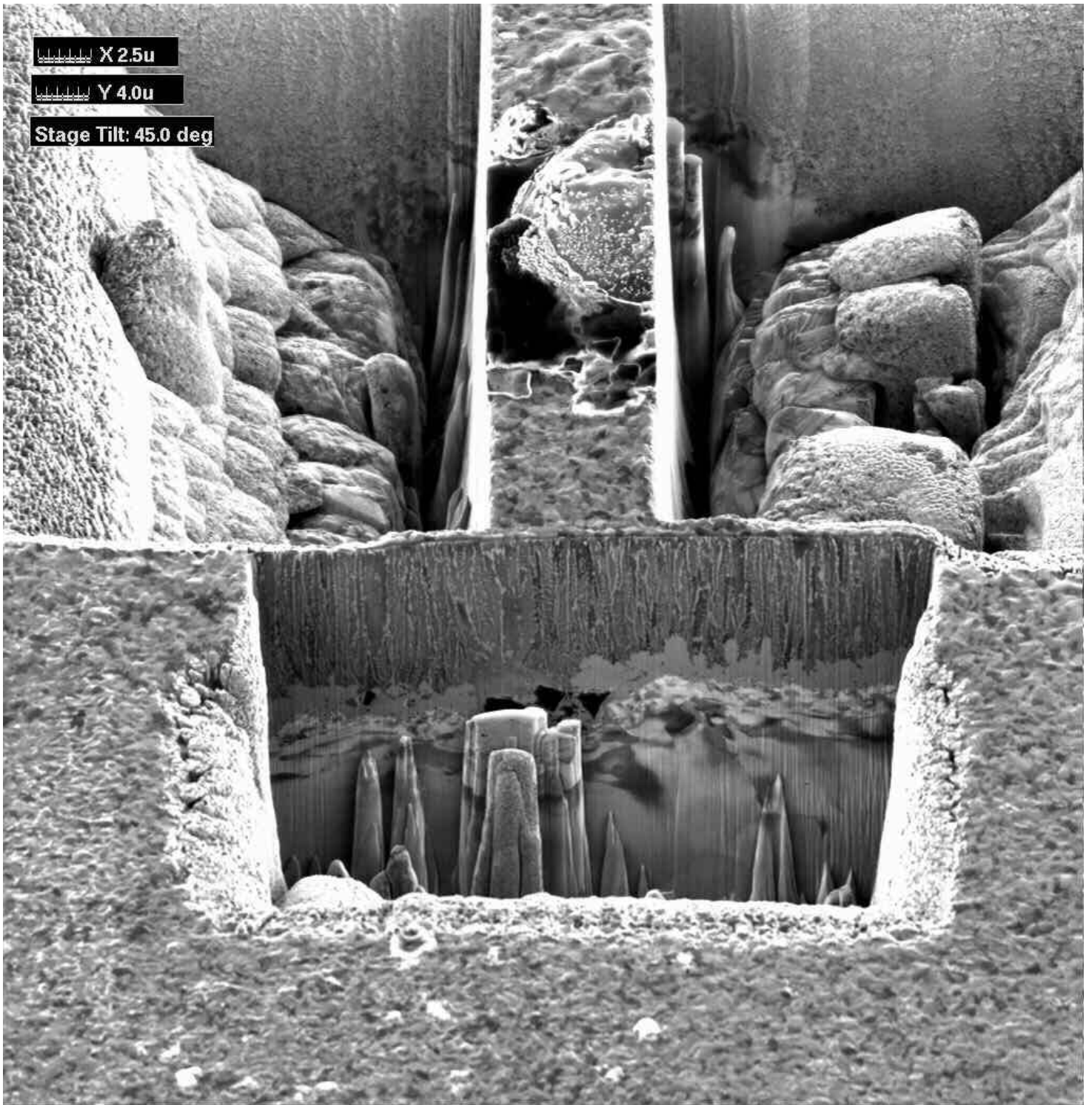




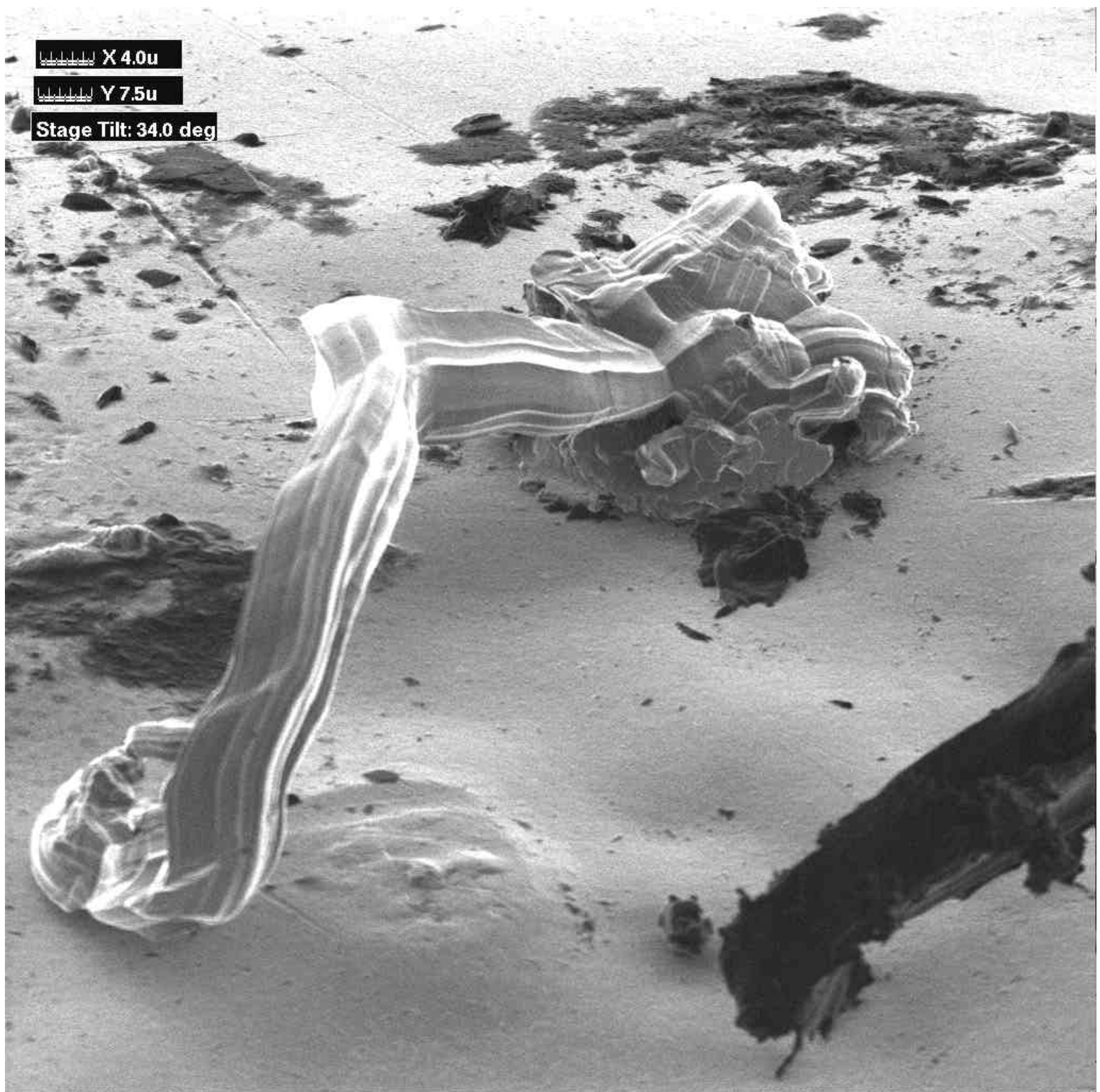
#5-11



#5-14

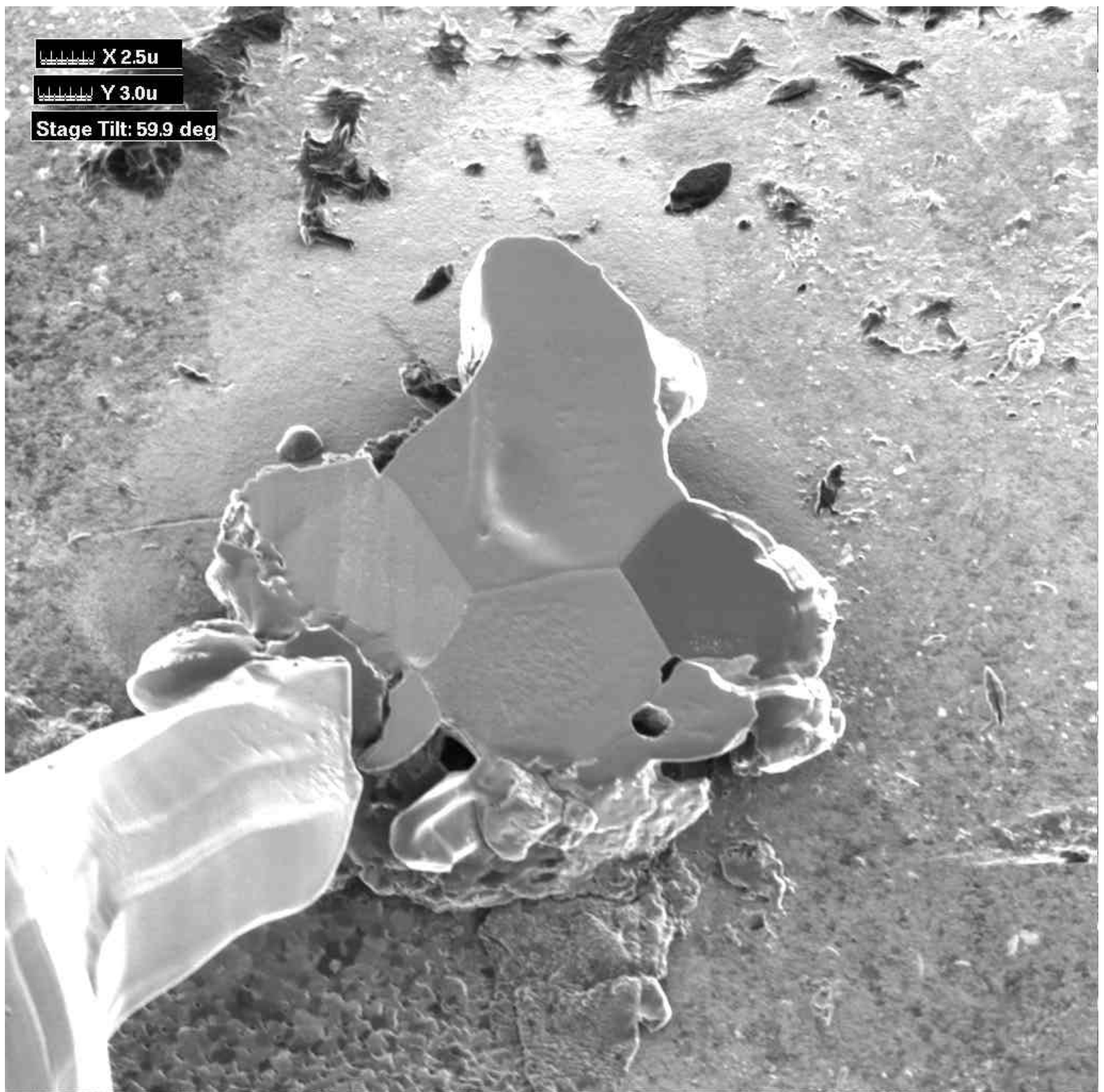


Triple Trench-bright tin nodule

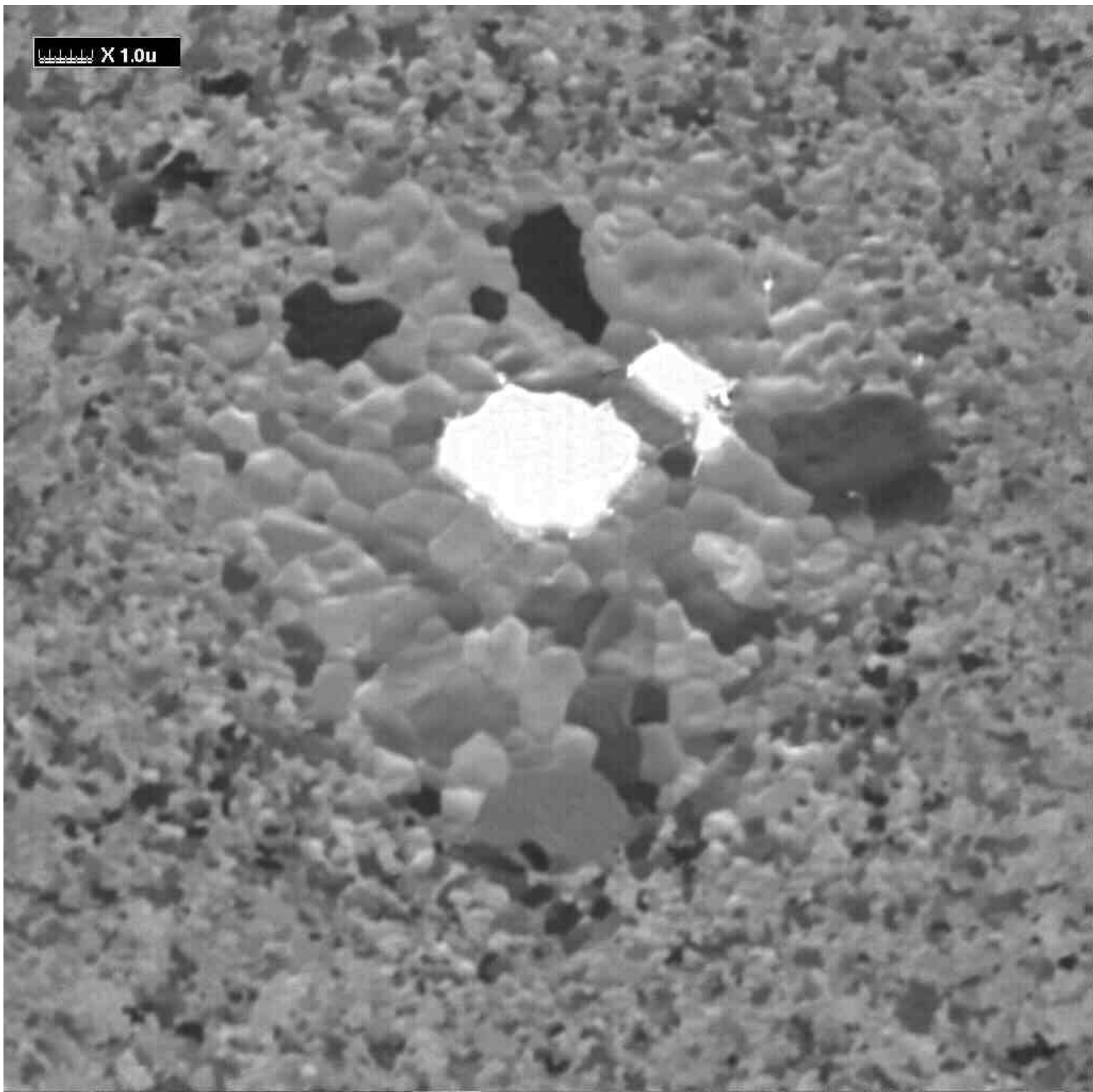


Plan View HS5-01

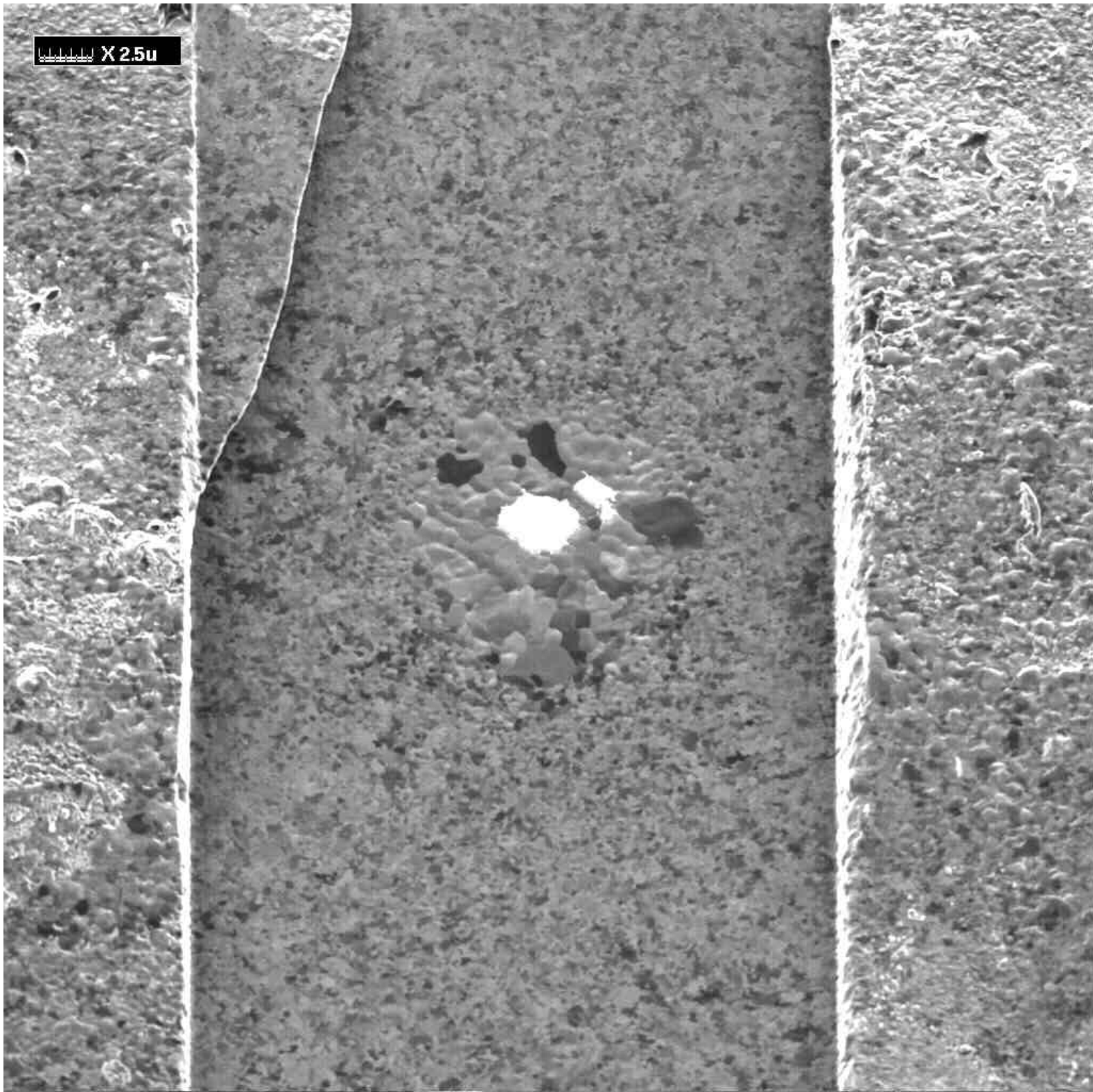




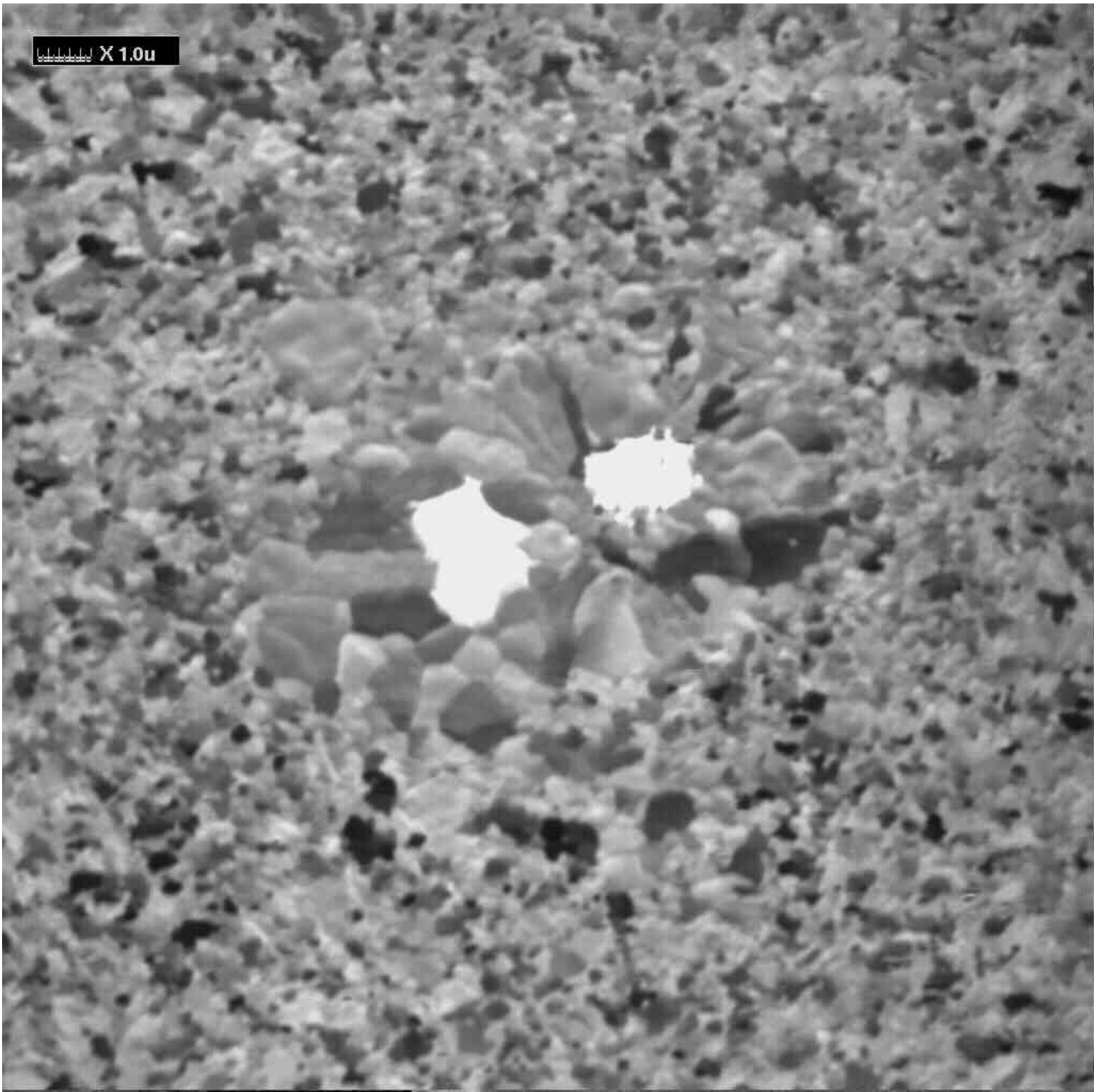
Plan View HS5-03



HS5-08



Plan View HS5-09

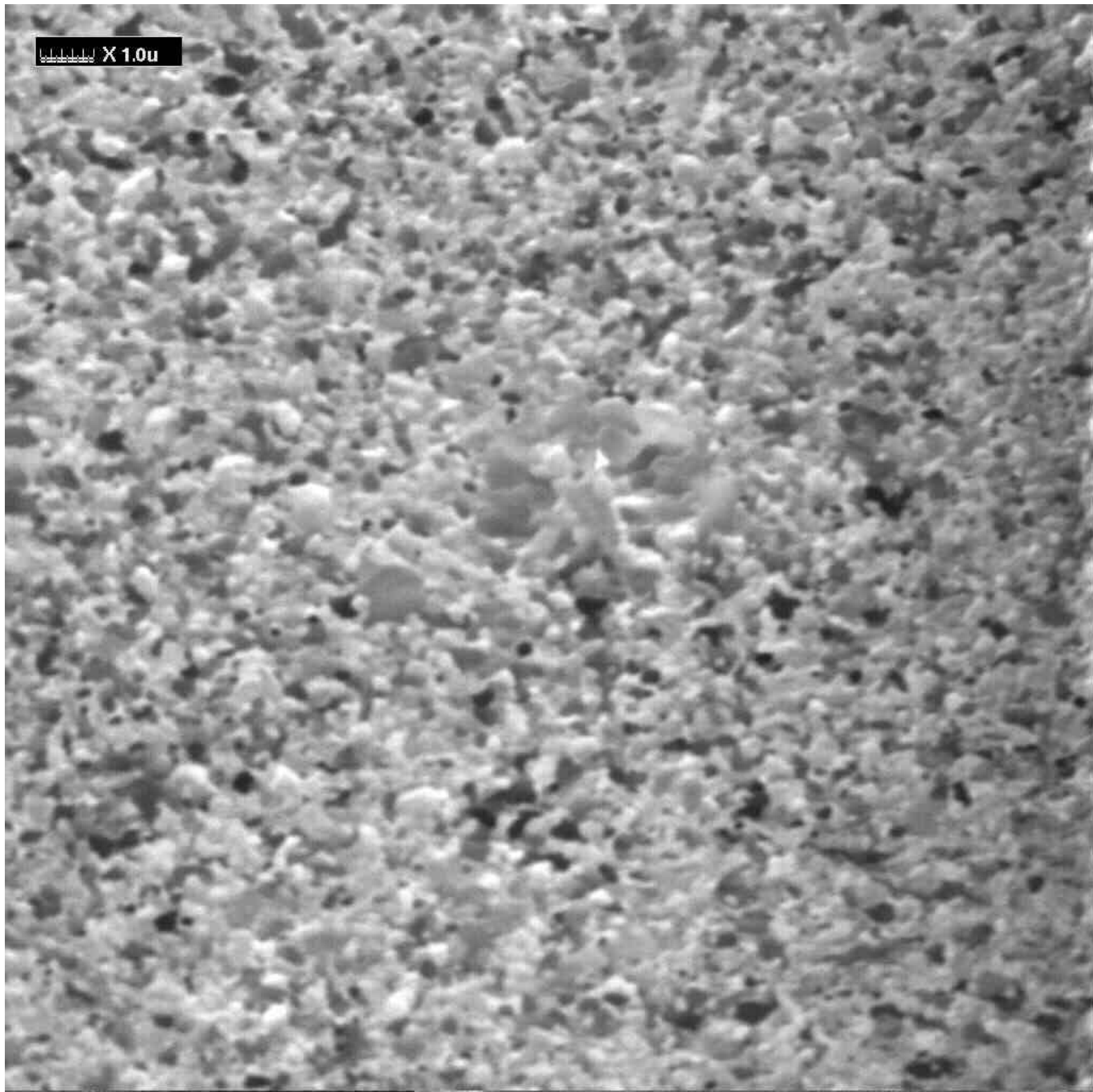


Plan View HS5-011

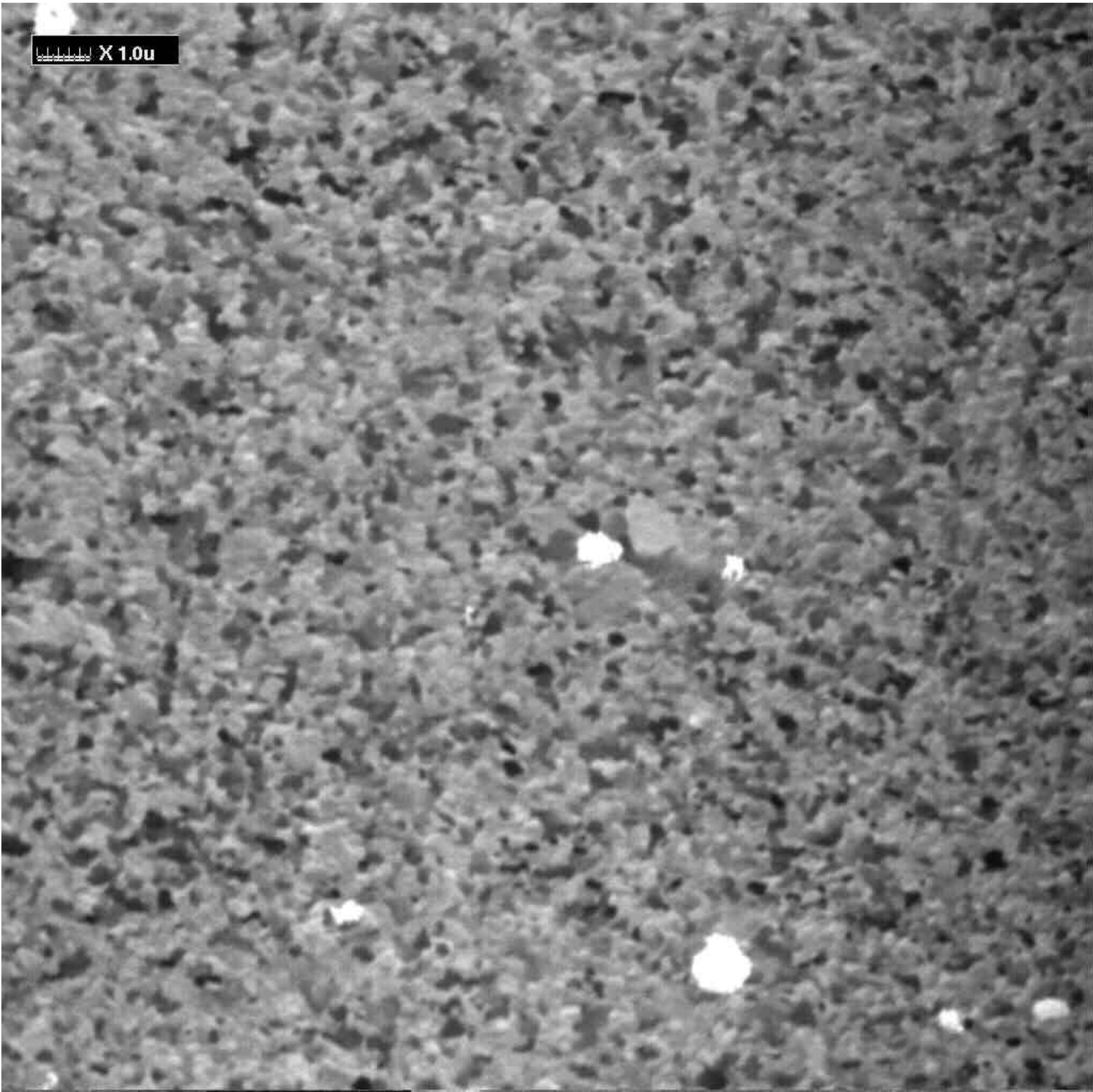


 X 1.0u

Plan View HS5-013



Plan View HS5-014

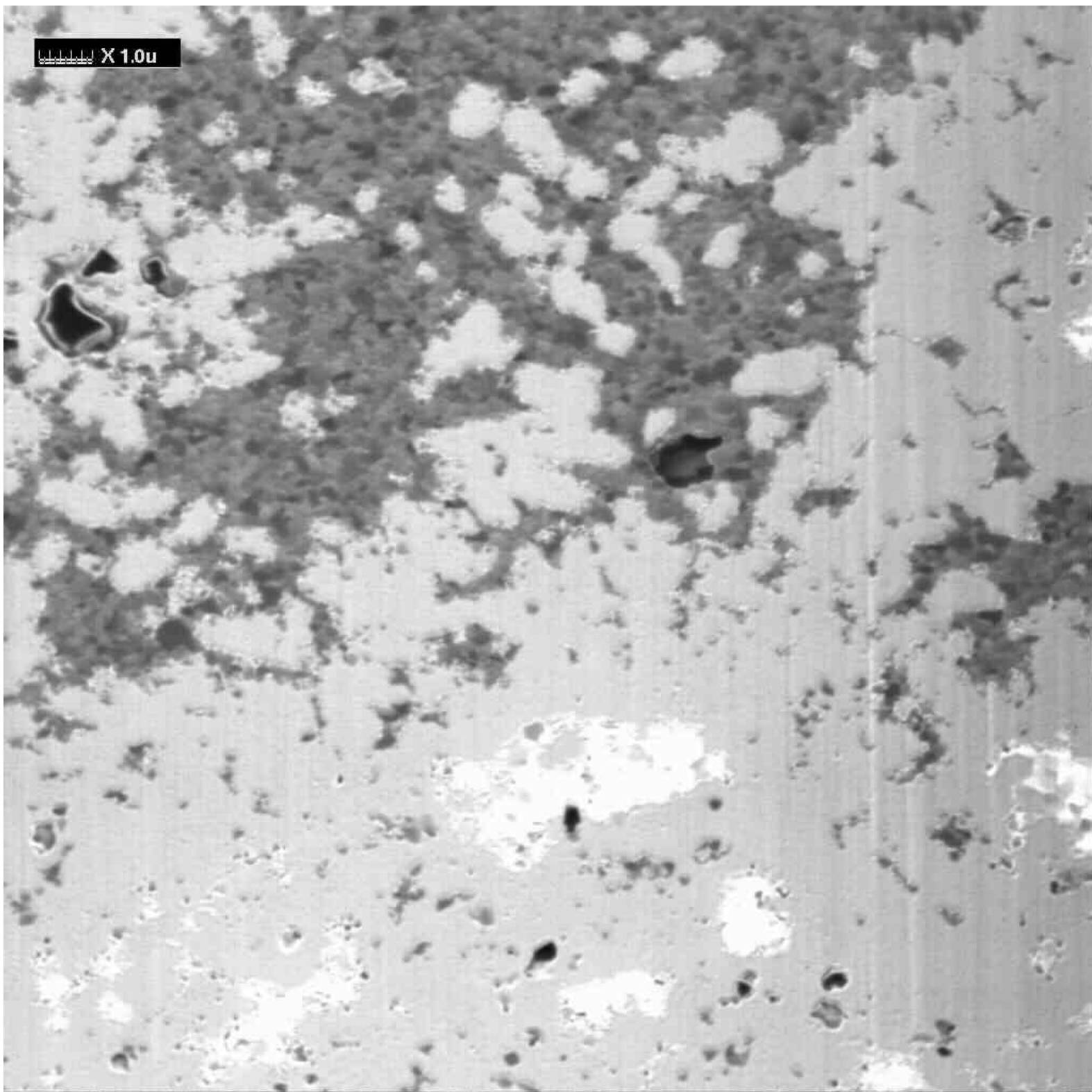


Plan View HS5-017

 X 1.0u

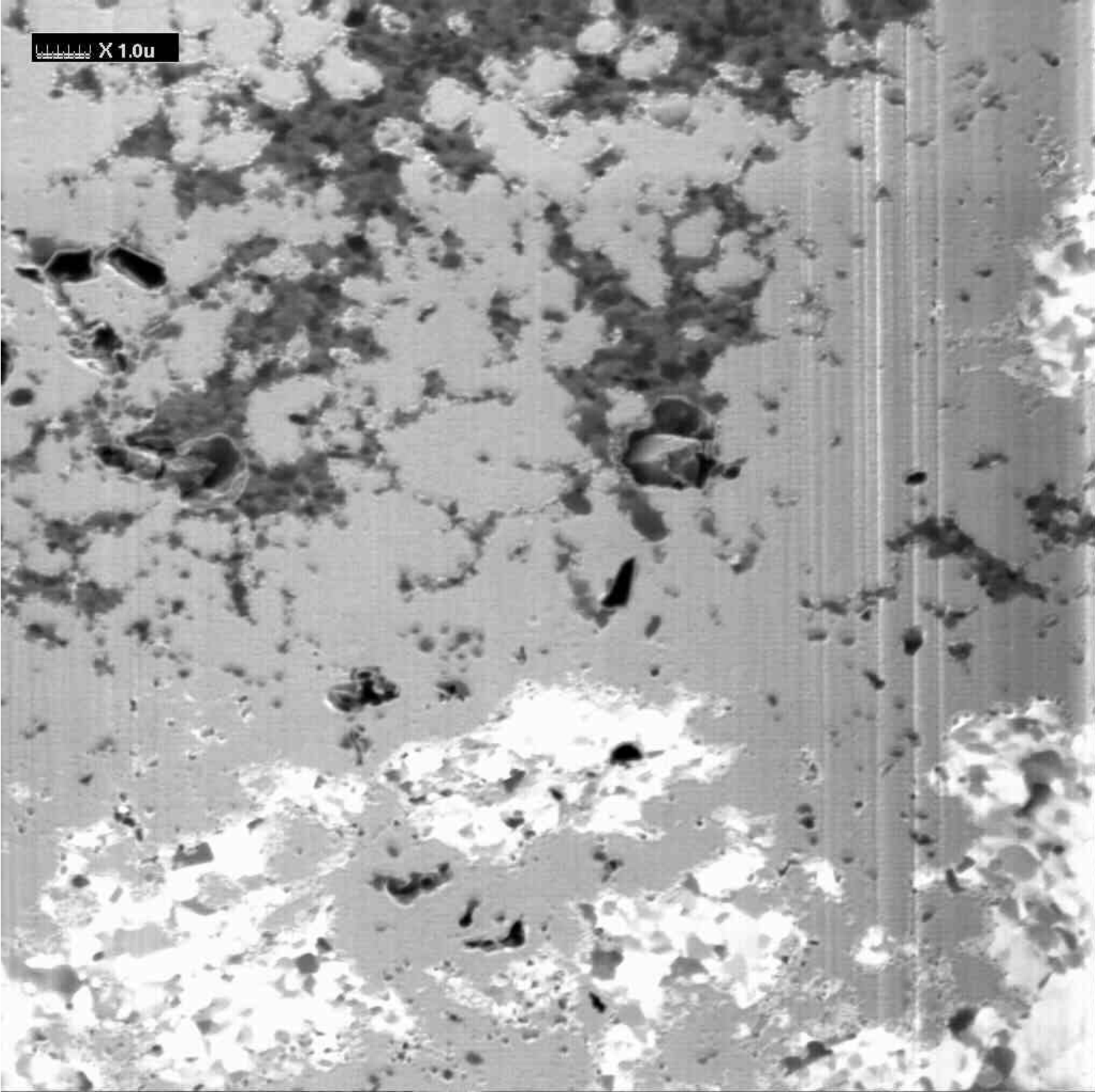
Plan View HS5-019





Plan View HS5-21

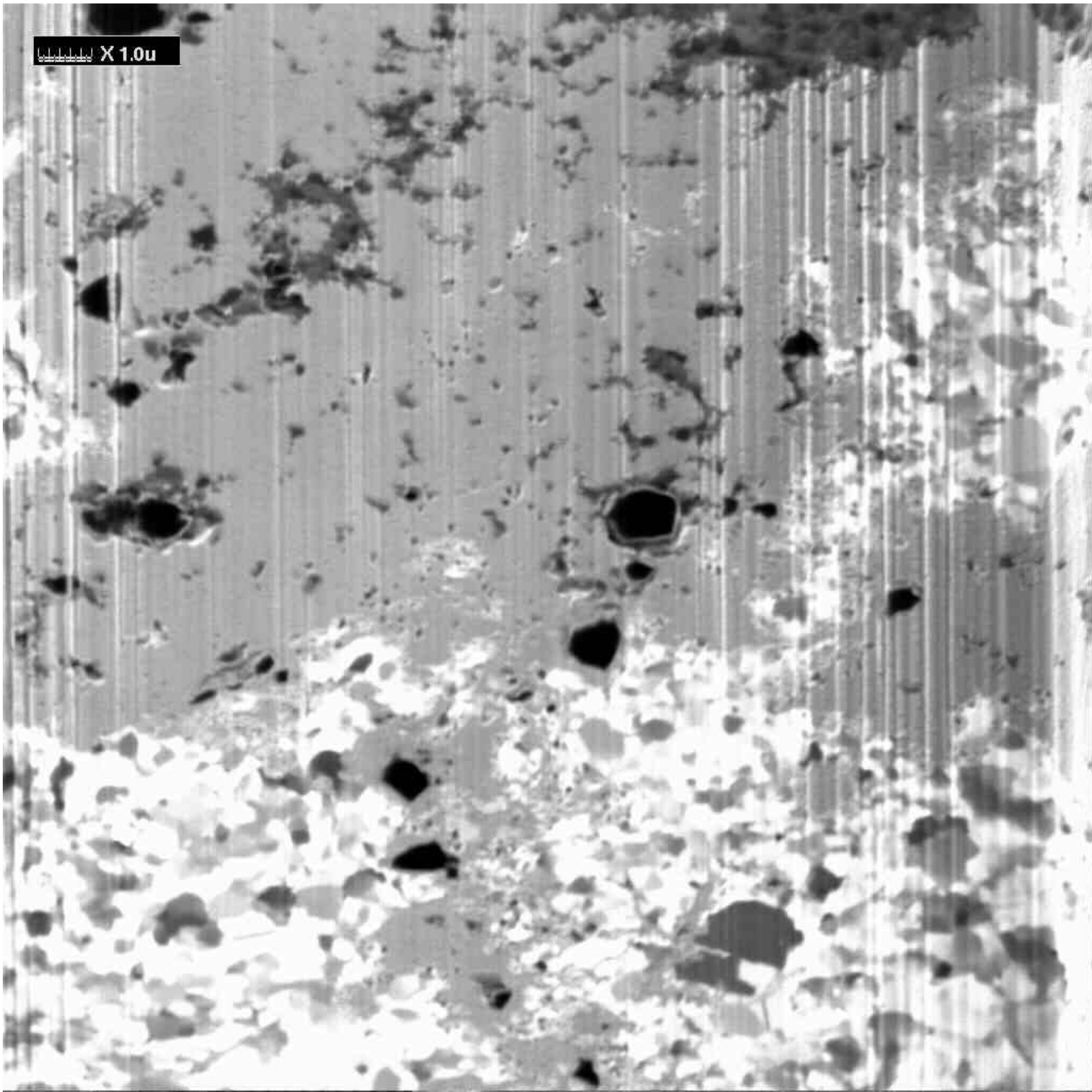




Plan View HS5-022

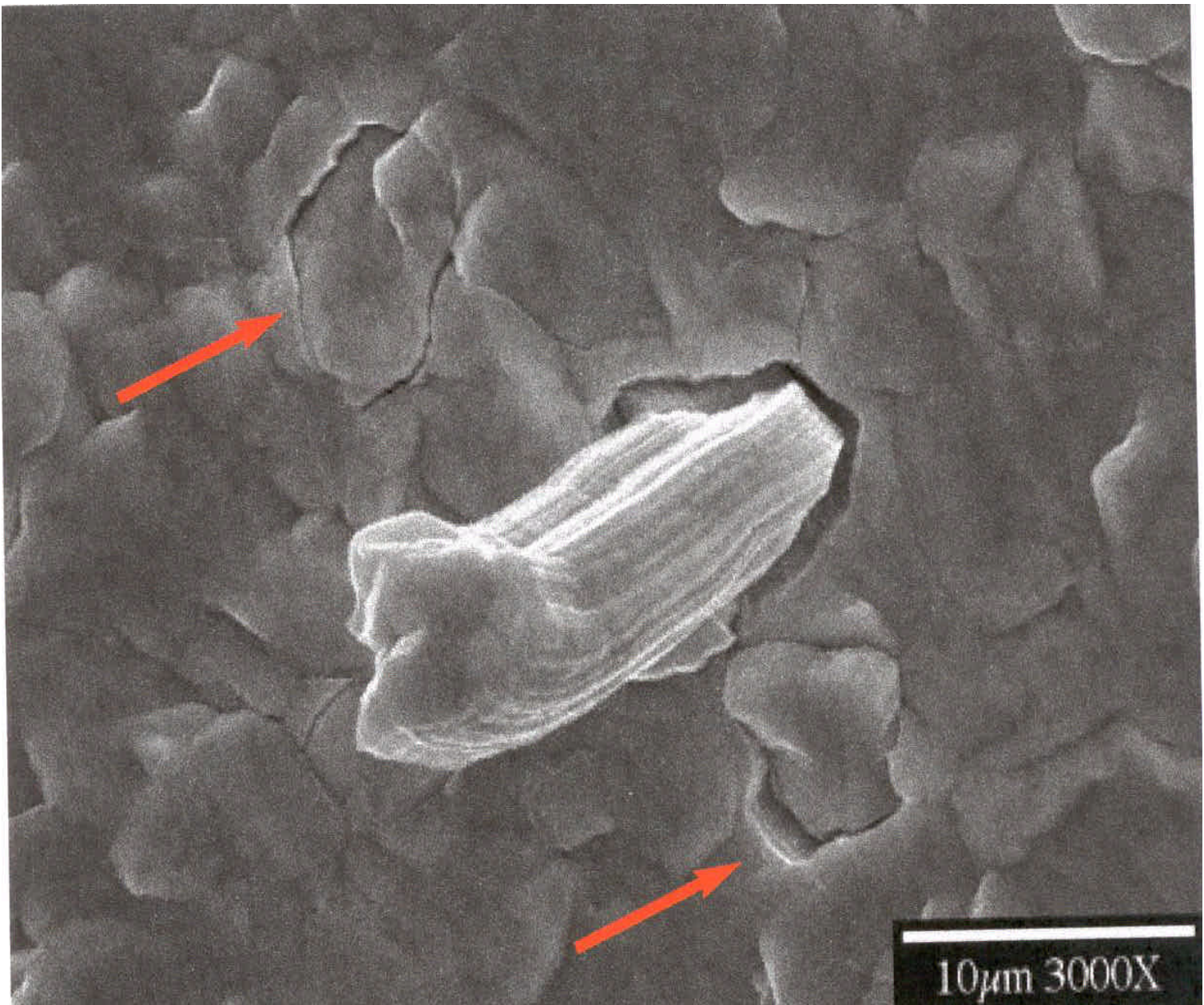
X 1.0u

Plan View HS5-023



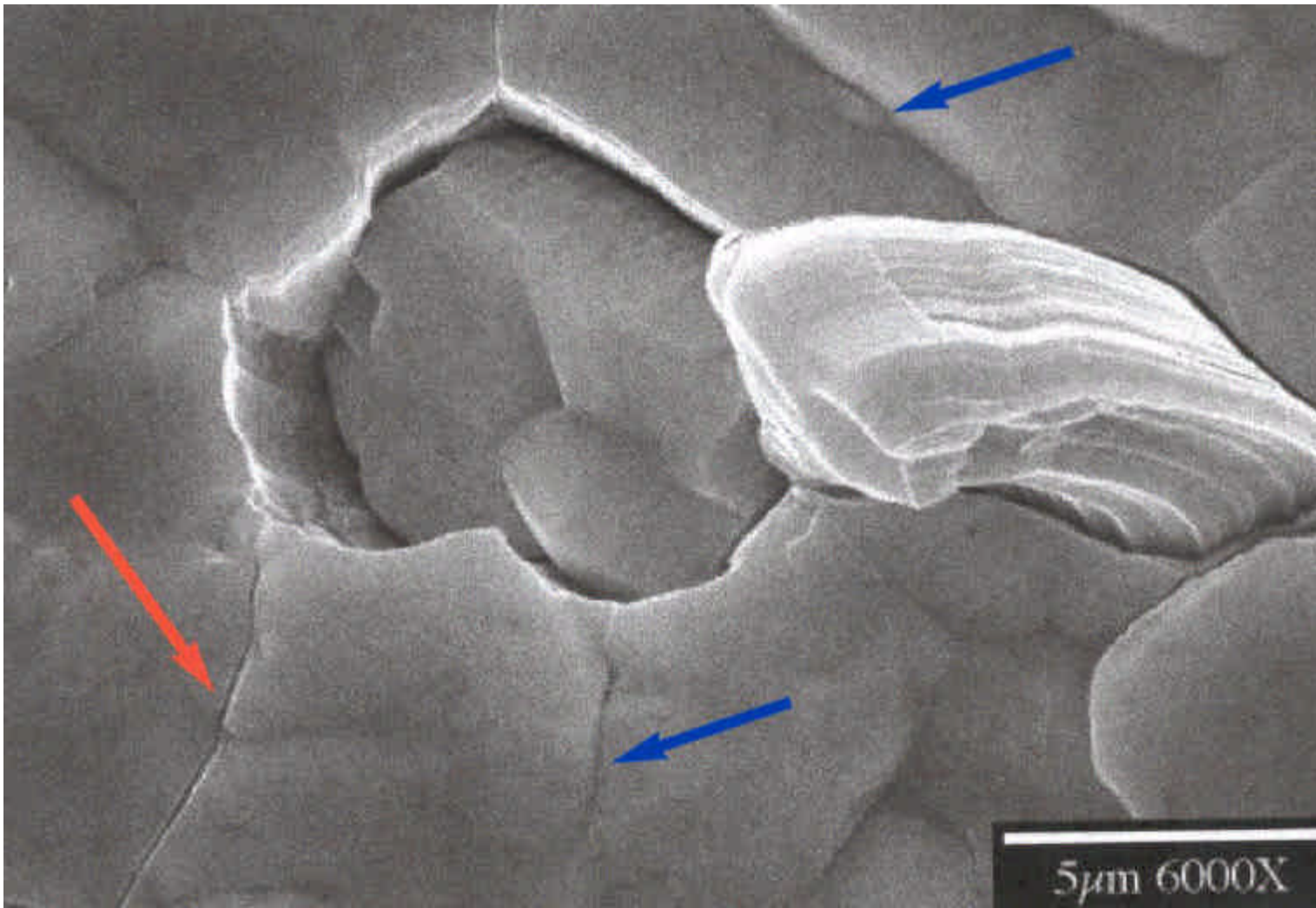
Plan View HS5-024





courtesy Peter Bush/Irina Boguslavsky

First examples of "remote grain subsidence"



courtesy Peter Bush/Irina Boguslavsky





Scratch-WK10

Bright Tin: FIB cross-section / FIB Micrograph

Whiskers only on scratch

Field Return-3+yrs. of service

X 2.5u

Y 4.0u

Stage Tilt: 45.0 deg



Scratch-WK08

Bright Tin: FIB cross-section / FIB micrograph



Scratch-WK06

Bright Tin: FIB cross-section / FIB micrograph





Scratch WK03

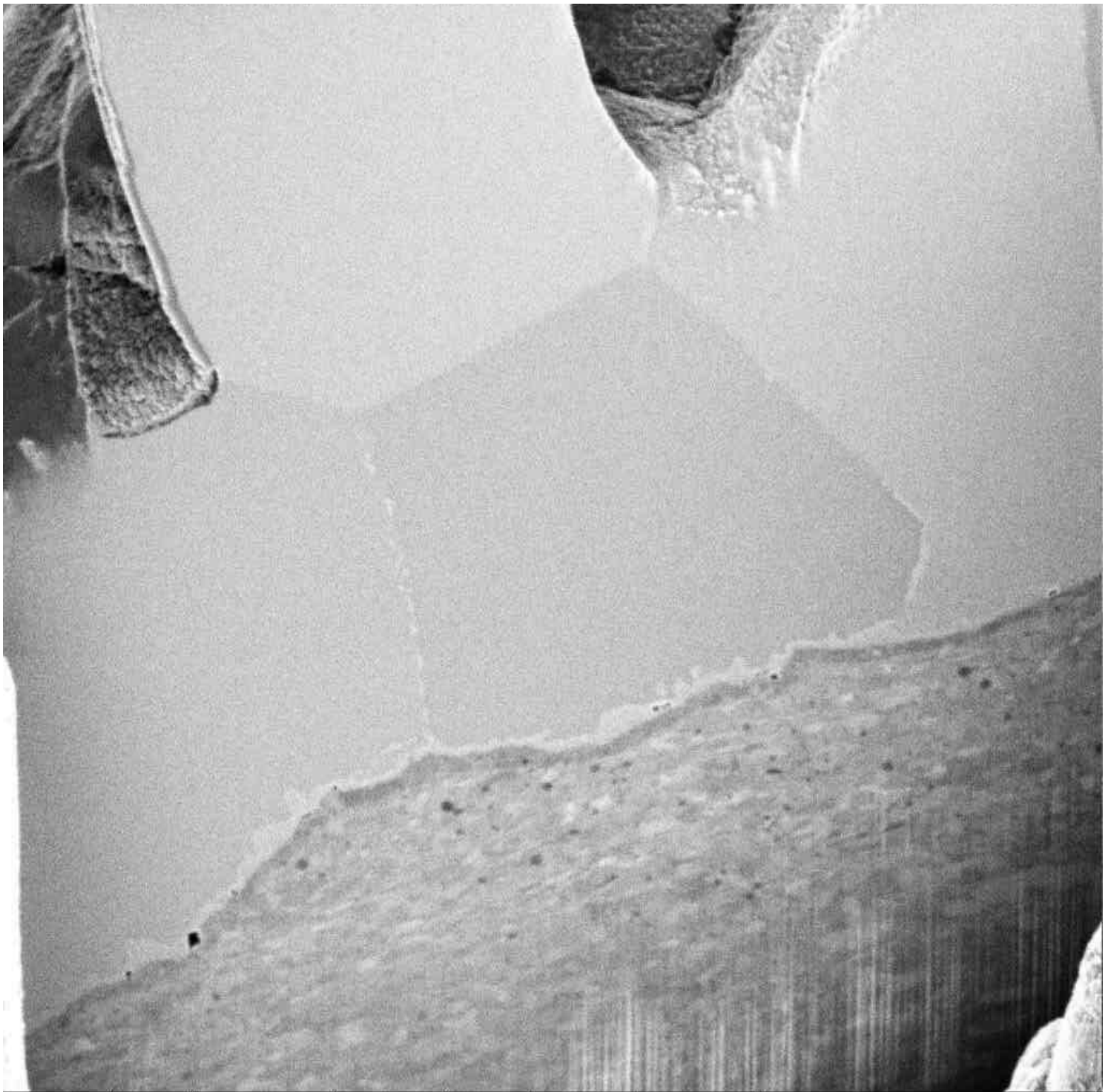
Bright Tin: FIB cross-section / FIB micrograph



Scratch WK-01

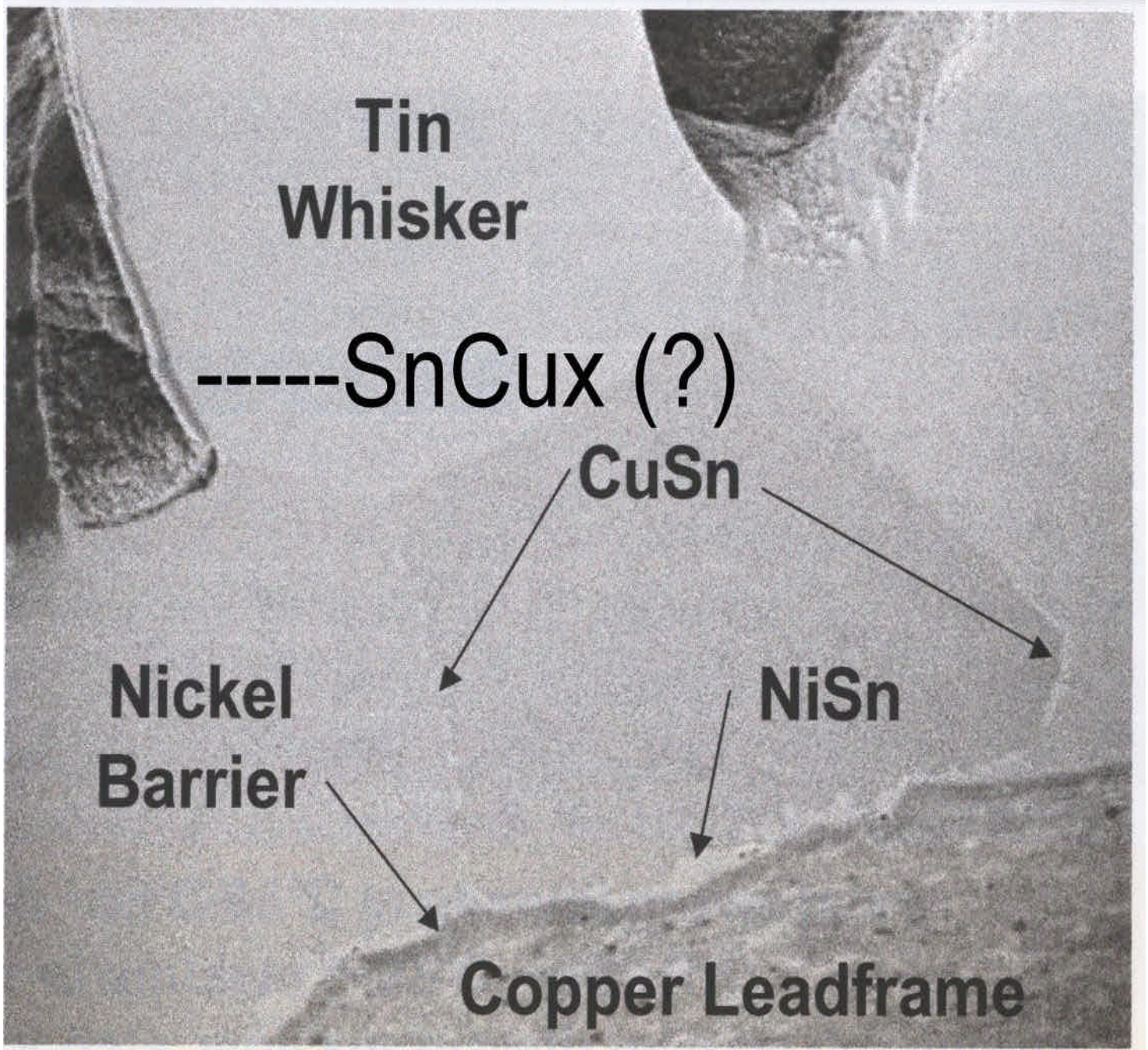
Bright Tin: FIB cross-section / FIB micrograph





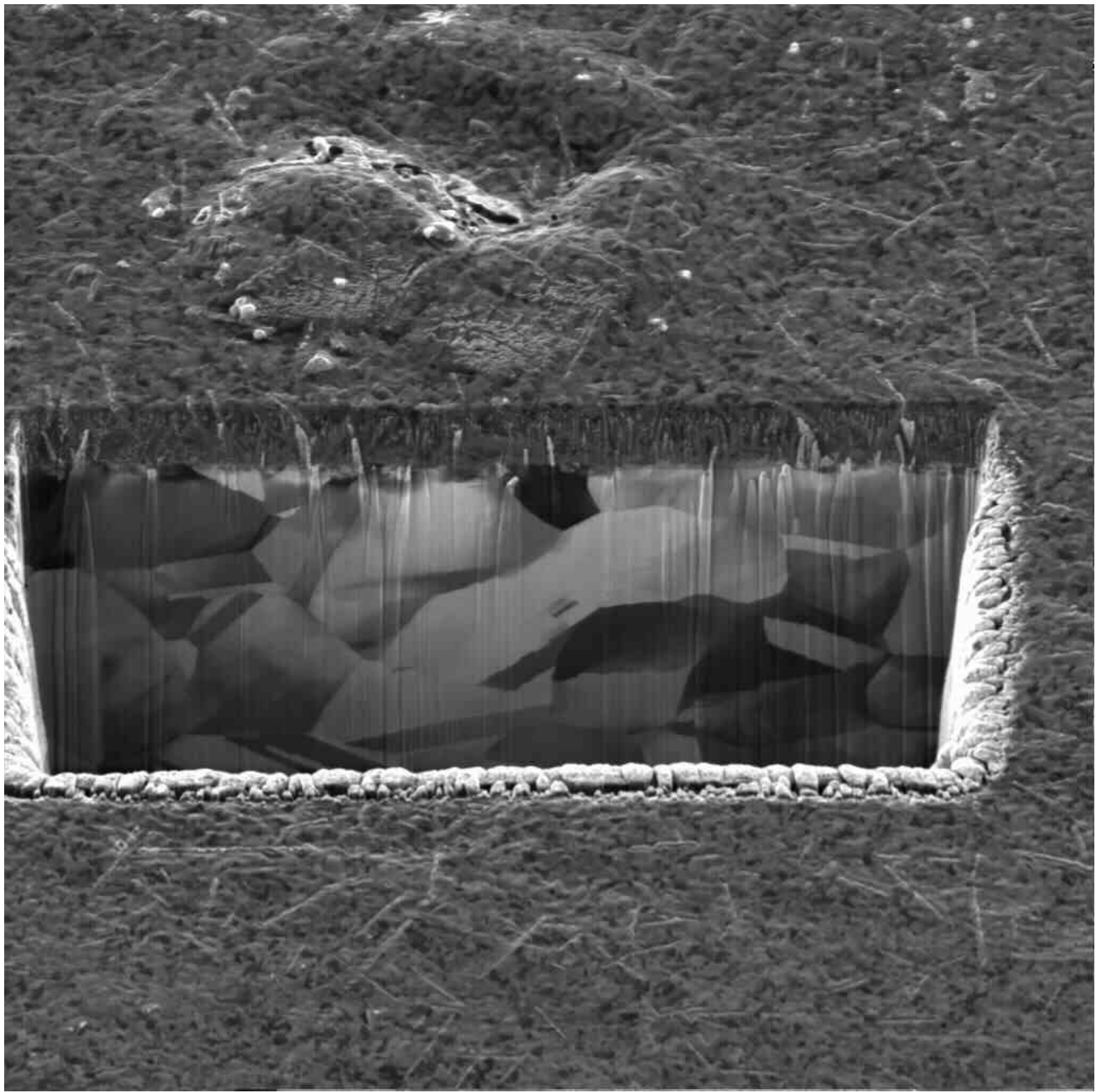
E-Beam	Spot	Scan	Mag	FWD	Det	HFW	
6.00 kV	3	H 22.63 s	10.0 kX	5.037	TLD-S	30.4 $\mu$ m	

courtesy: N. Vo, Motorola Corp.  
Matte Tin (10u) over Ni (.5-1u)



courtesy N. Vo-Motorola Corp.

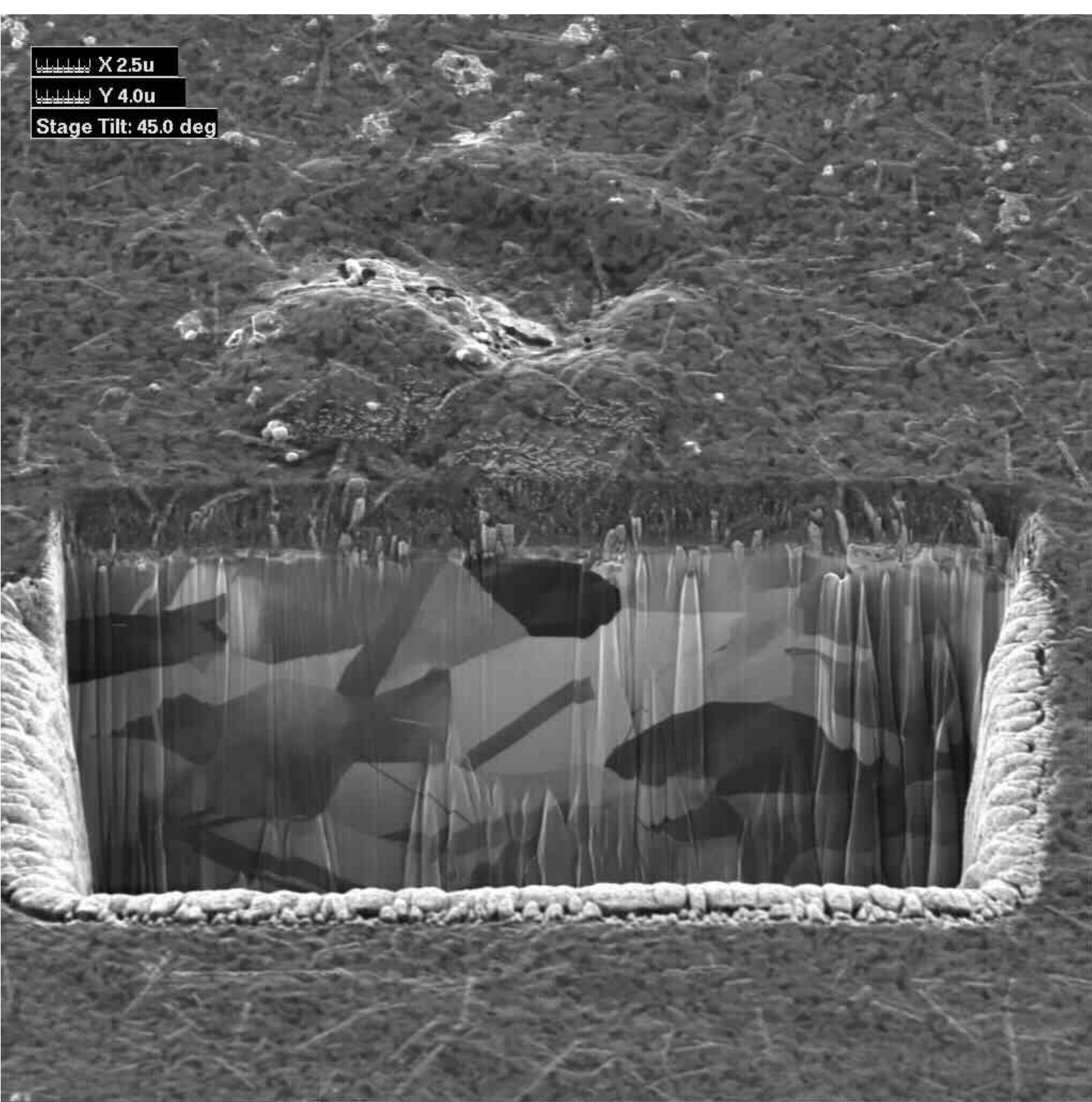




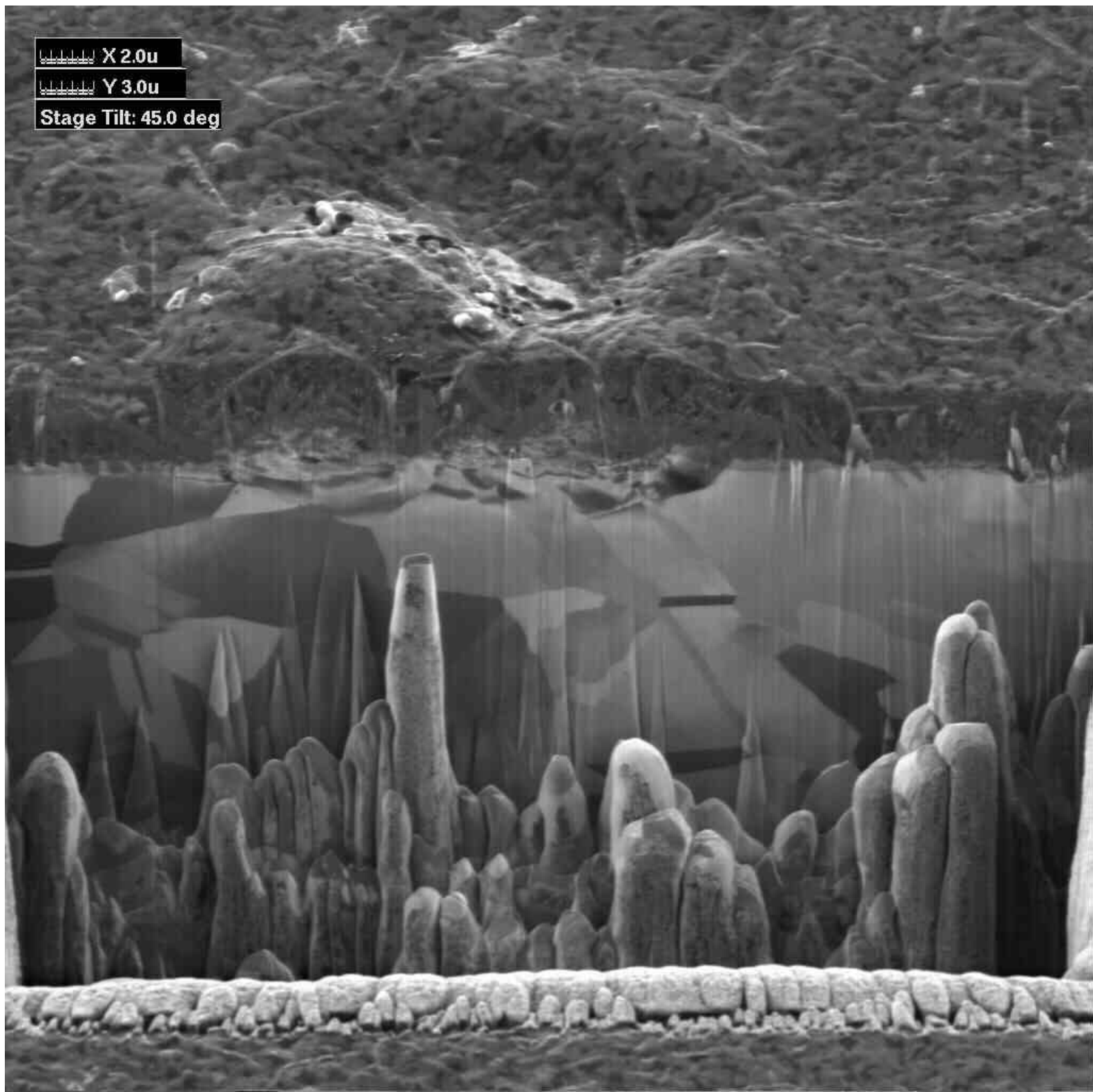
CuNiSn01

Bright Tin over Ni over Cu

Field Service-no whiskers observed



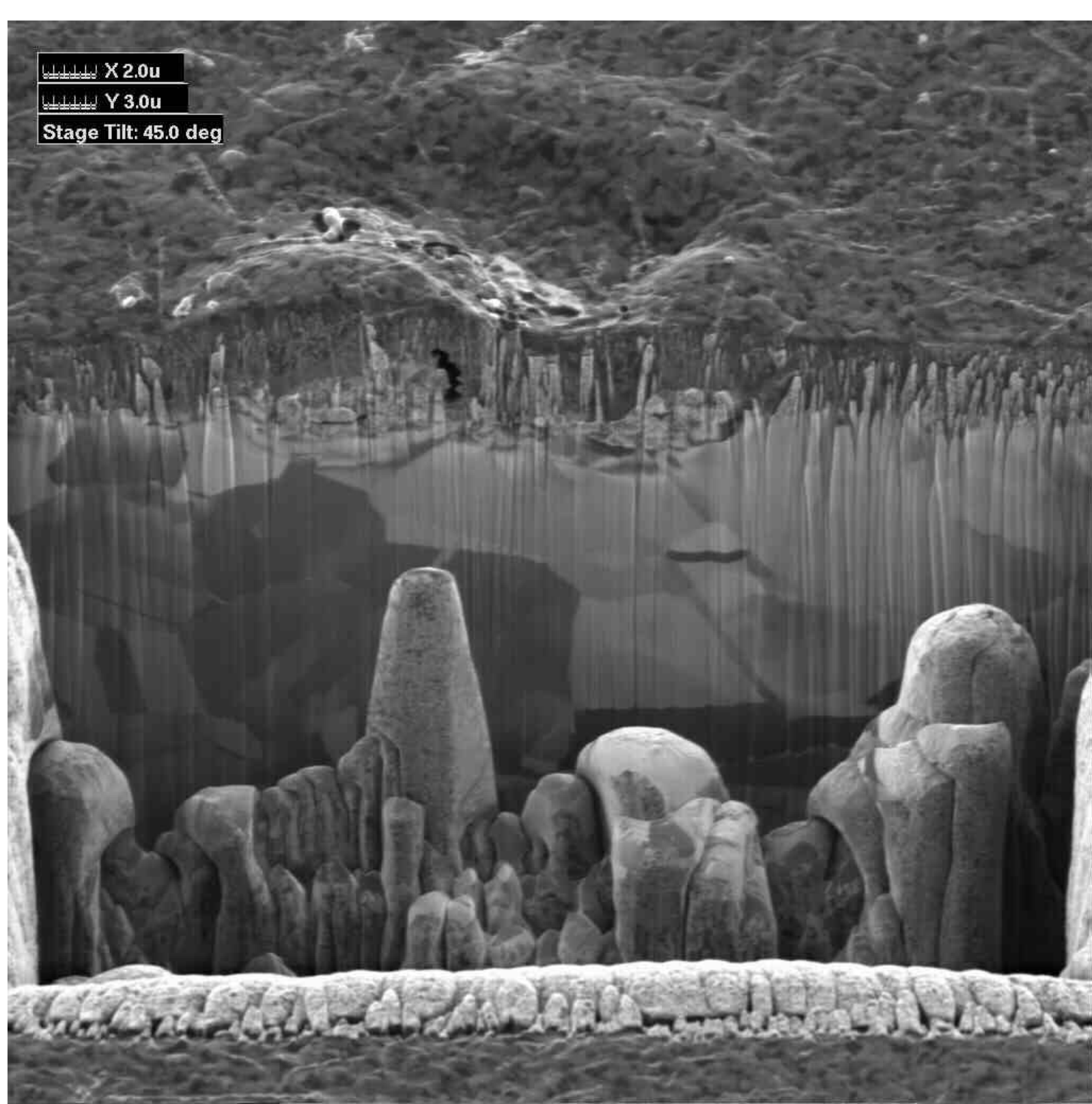
CuNiSn05



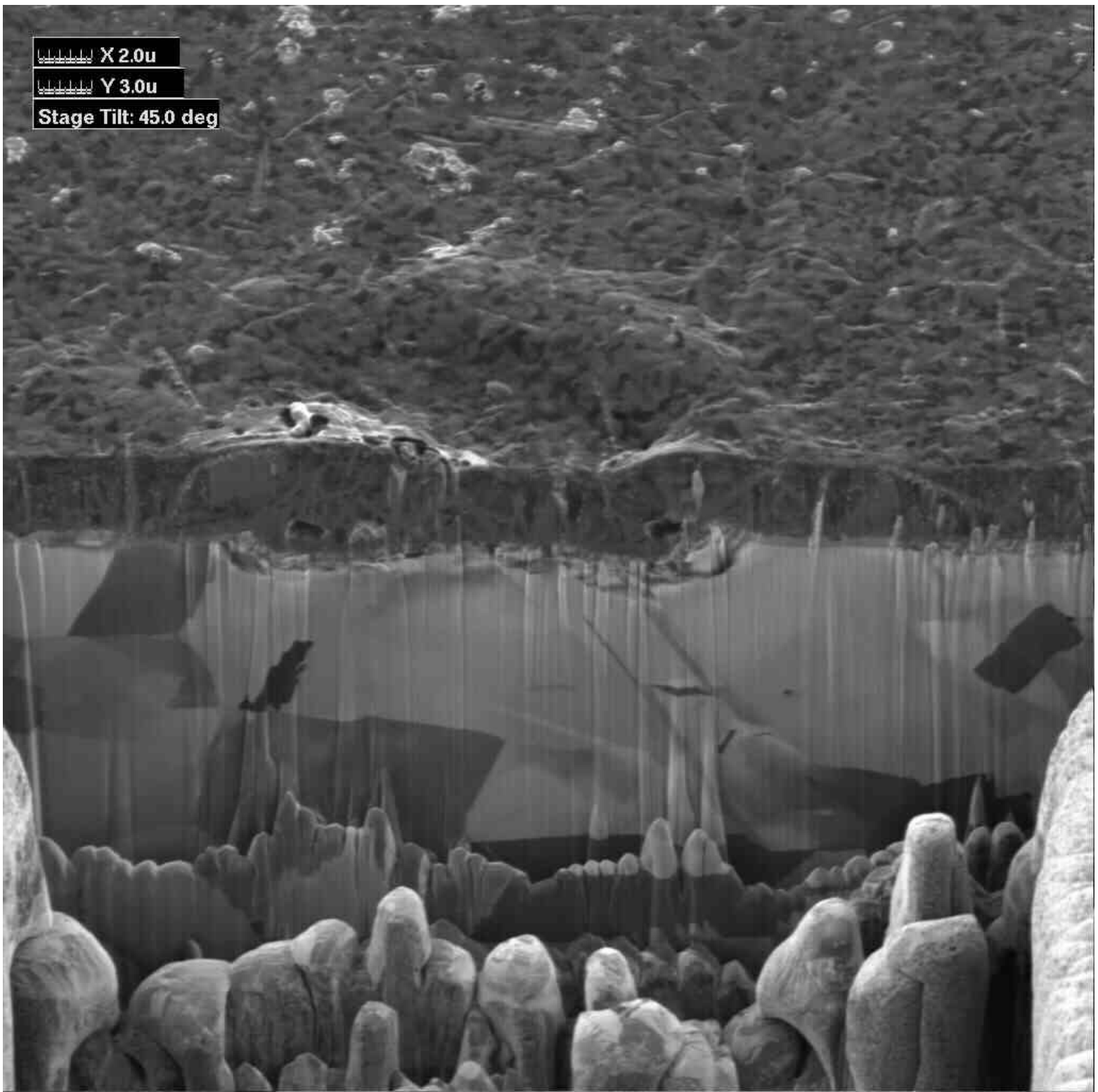
CuNiSn08



X 2.0u  
Y 3.0u  
Stage Tilt: 45.0 deg



CuNiSn10

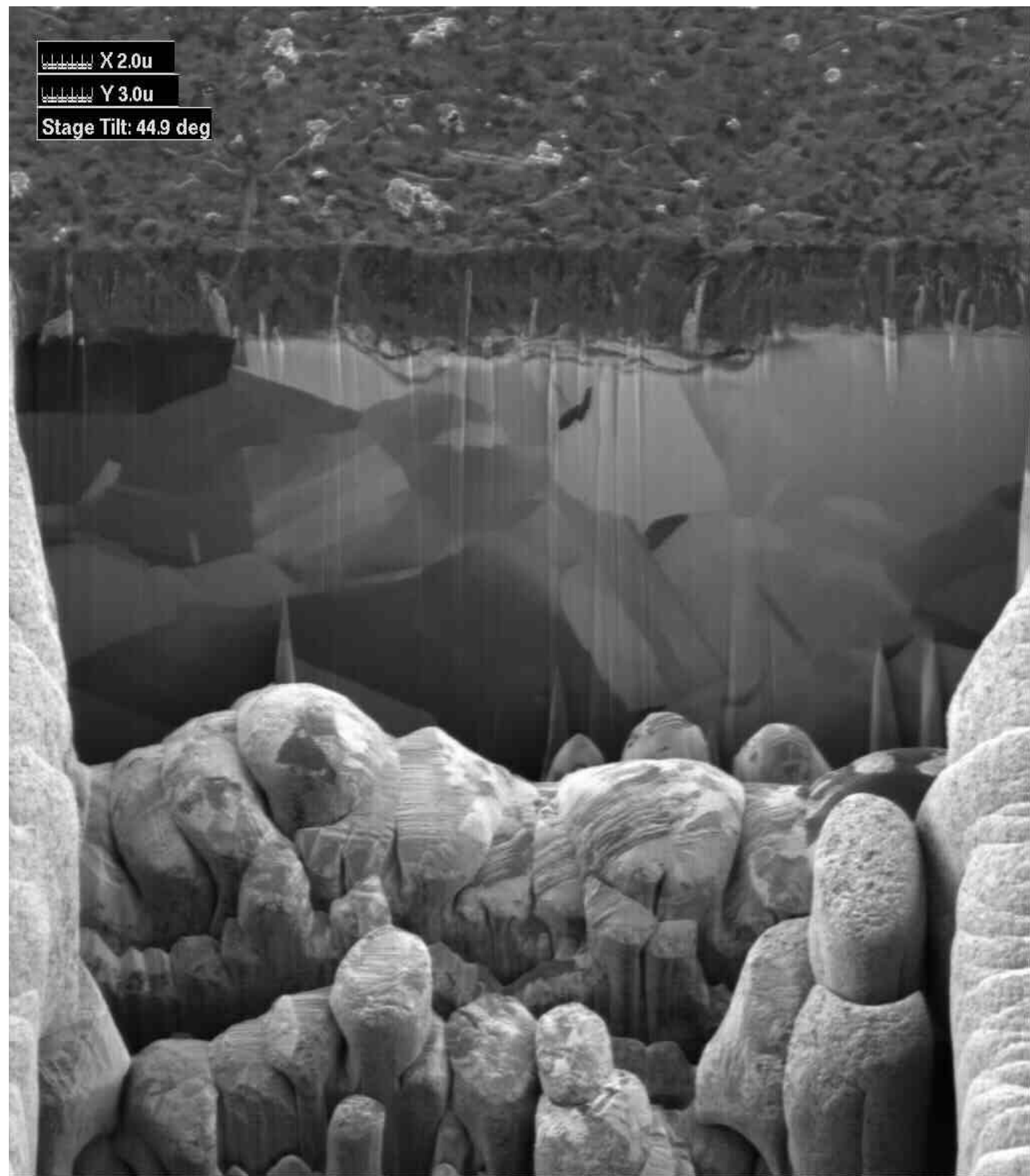


CuNiSn12

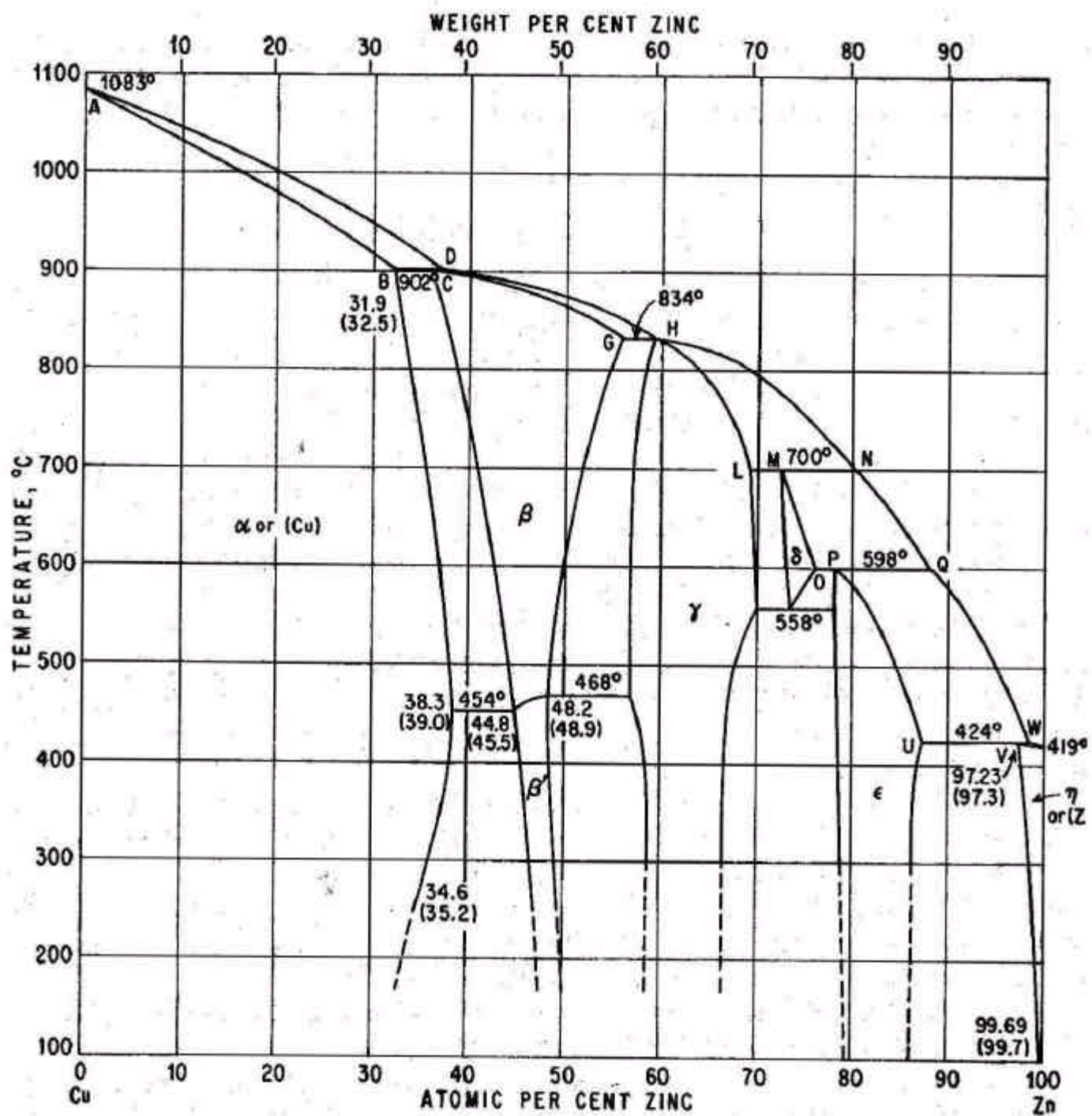
X 2.0u

Y 3.0u

Stage Tilt: 44.9 deg



CuNiSn18





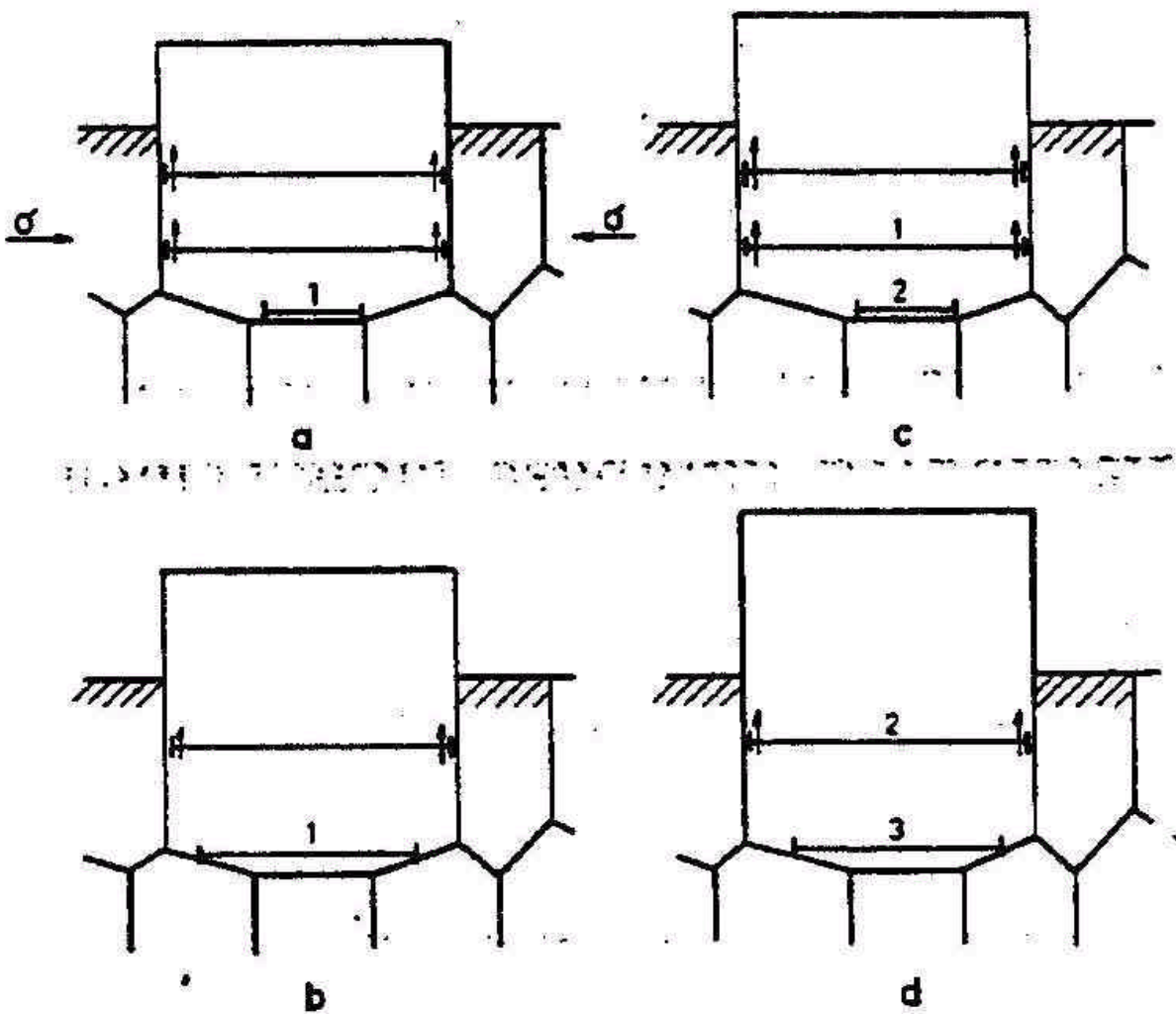


Fig. 2. Whisker growth model. (a, b) An extra plane of atoms expands by climb of the surrounding edge dislocation loop marked 1. (c) Loop no. 1 has reached full size and started to glide upwards. A second loop has started to expand. (d) Loop no. 1 has reached the surface and pushed out the whisker one atomic spacing. The subsequent loop is in the gliding stages and a third loop is expanding by climb.

ref. U. Lindborg-Acta Met., 1976